

# Automata Theory :: Recursively Enumerable Languages

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# Recursively Enumerable Languages

A language  $L$  is **recursively enumerable** if  $L$  is accepted by a (deterministic) Turing machine.

Equivalently, a language  $L$  is recursively enumerable if there exists a Turing machine **enumerates all words** in  $L$ .

Intuitively, the Turing machine writes on the tape

$$\#w_1\#w_2\#w_3\#\dots$$

such that  $L = \{w_1, w_2, w_3, \dots\}$ .

If  $L$  is infinite, this computation never stops! Every word from  $L$  will eventually be written on the tape.

Then  $w_1, w_2, \dots$  is called a **recursive enumeration** of  $L$ .

# Turing Machines are Recursively Enumerable

## Theorem

Turing machines are recursively enumerable.

## Proof.

- A Turing machine can be represented as a word.
- A parser can check whether a word represents a TM.  
(If so, accept.)



Thus, there is a recursive enumeration of all Turing machines:

$$M_1, M_2, \dots$$

Formally, we enumerate words describing Turing machines. But this does not matter since we have a universal Turing machine that can execute these descriptions.

## Properties of Recursively Enumerable Languages

# Union and Intersection

## Theorem

If  $L_1$  and  $L_2$  are recursively enumerable languages, then so are

$$L_1 \cup L_2$$

$$L_1 \cap L_2$$

Let  $M_1$  and  $M_2$  be Turing machines such that

$$L(M_1) = L_1$$

$$L(M_2) = L_2$$

Create a Turing machine  $M$  that runs  $M_1$  and  $M_2$  in parallel.  
(Alternating simulate one step of  $M_1$  and one step of  $M_2$ .)

- For  $L(M) = L_1 \cup L_2$ ,  
let  $M$  accept as soon as  $M_1$  accepts or  $M_2$  accepts.
- For  $L(M) = L_1 \cap L_2$ ,  
let  $M$  accept as soon as both  $M_1$  and  $M_2$  accept.

What about  $L_1 \setminus L_2$ ?

# Complement

There exist recursively enumerable languages  $L$ , for which the complement  $\bar{L}$  is **not** recursively enumerable.

## Proof.

Let  $M_1, M_2, M_3, \dots$  be a recursive enumeration of all TMs.

Define the language  $L$  by

$$L = \{ a^i \mid a^i \in L(M_i), i \geq 1 \}$$

Then  $L$  is recursively enumerable.

If  $\bar{L}$  was recursively enumerable:  $\bar{L} = L(M_k)$  for some  $k \geq 1$ .

Then

$$a^k \in \bar{L} \iff a^k \in L(M_k) \iff a^k \in L$$

**Contradiction.** Hence  $\bar{L}$  is not recursively enumerable. □

$L_1 \setminus L_2$  is not always recursively enumerable since  $\bar{L} = \Sigma^* \setminus L$ .

# Concatenation

## Theorem

If  $L_1$  and  $L_2$  are recursively enumerable languages, then so is

$$L_1L_2$$

Let  $M_1$  and  $M_2$  be Turing machines such that

$$L(M_1) = L_1$$

$$L(M_2) = L_2$$

A split of a word  $w$  is a pair  $(w_1, w_2)$  such that  $w = w_1w_2$ .

We call the split good if  $M_1$  accepts  $w_1$  and  $M_2$  accepts  $w_2$ .

Create a Turing machine  $N$  that

- computes all splits of the input word  $w$
- checks **all splits in parallel** whether they are good
- accepts the input  $w$  as soon as a good split is found

Then  $L(N) = L_1L_2$ .

# Kleene Star

## Theorem

If  $L$  is a recursively enumerable language, then so is

$$L^*$$

Let  $M$  be a Turing machine such that  $L(M) = L$ .

A partitioning of a word  $w \neq \lambda$  are non-empty words  $(w_1, \dots, w_n)$  for some  $n \leq |w|$  such that  $w = w_1 w_2 \cdots w_n$ .

The partitioning is good if  $M$  accepts all words  $w_1, \dots, w_n$ .

Create a Turing machine  $N$  that

- computes all partitionings of the input word  $w \neq \lambda$
- checks **all partitionings in parallel** whether they are good
- accepts the input  $w$  as soon as a good partitioning is found

Then  $L(N) = L^*$ .



# Recursive Languages

# Recursive Languages

A language  $L$  is **recursive** if

- $L$  is recursively enumerable, and
- $\bar{L}$  is recursively enumerable.

Not every recursively enumerable language is recursive!

(See the a few slides back.)

## Theorem

A language  $L$  is recursive  $\iff$   $L$  is accepted by a deterministic TM  $M$  that reaches for every input a halting state.

Proof on the next slide.

# Proof

( $\Leftarrow$ )  $L$  accepted by deterministic TM  $M$  that always halts.

We show that  $\bar{L}$  is recursively enumerable.

From  $M$  we construct a Turing machine  $N$  as follows:

- Add a fresh state  $q_f$ .
- For all  $q \in Q \setminus F$  and  $a \in \Gamma$ :  
if  $\delta(q, a)$  undefined, define  $\delta(q, a) = (q_f, a, R)$ .
- Make  $q_f$  the only final state.

Then  $L(N) = \overline{L(M)}$ , thus  $L(M)$  is recursive.

( $\Rightarrow$ )  $L$  and  $\bar{L}$  accepted by deterministic TMs  $M_1$  and  $M_2$ .

Construct a TM  $M$  executes  $M_1$  and  $M_2$  in parallel:

- $M$  **accepts** when  $M_1$  accepts
- $M$  has **non-accepting** halting state when  $M_2$  accepts

Then  $L(M) = L(M_1) = L$ , and  $M$  halts for every input.

# Properties of Recursive Languages

## Theorem

If  $L$ ,  $L_1$  and  $L_2$  are recursive, then so are

$$\bar{L} \quad L_1 \cup L_2 \quad L_1 \cap L_2 \quad L_1 \setminus L_2 \quad L^* \quad L_1 L_2$$

## Proof.

Let  $L$ ,  $\bar{L}$ ,  $L_1$ ,  $\bar{L}_1$ ,  $L_2$  and  $\bar{L}_2$  be recursively enumerable (r.e.).

- $\bar{L}$ :  $\bar{L}$  and  $\bar{\bar{L}} = L$  are r.e.
- $L_1 \cup L_2$ :  $L_1 \cup L_2$  and  $\overline{L_1 \cup L_2} = \bar{L}_1 \cap \bar{L}_2$  are r.e.
- $L_1 \cap L_2$ :  $L_1 \cap L_2$  and  $\overline{L_1 \cap L_2} = \bar{L}_1 \cup \bar{L}_2$  are r.e.
- $L_1 \setminus L_2 = L_1 \cap \bar{L}_2$
- $L_1 L_2$ ,  $L^*$ : same proof as for recursively enumerable languages. Observe that the constructed Turing machine halts on all inputs if  $M_1$ ,  $M_2$  and  $M$  do.

