

- Lecture 1: Introduction, Abstract Rewriting
- Lecture 2: Term Rewriting
- Lecture 3: Combinatory Logic
- Lecture 4: Termination
- Lecture 5: Matching, Unification
- Lecture 6: Equational Reasoning, Completion
- Lecture 7: Confluence
- Lecture 8: Modularity
- Lecture 9: Strategies
- Lecture 10: Decidability
- Lecture 11: Infinitary Rewriting

Outline

- Overview
- Introduction
- Well-Founded Monotone Algebras
- Monotone algebras
- Polynomial Interpretations
- Dependency Pairs
- Stepwise Termination Proofs
- Dependency Graphs

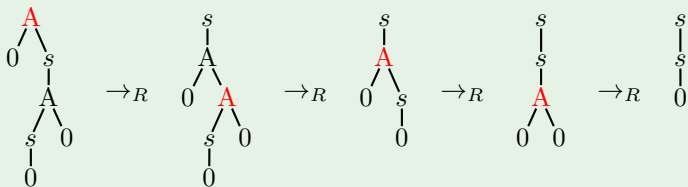
Termination

Termination, Example 1

Example

$$A(x, s(y)) \rightarrow s(A(x, y))$$

$$A(x, 0) \rightarrow x$$



Looks terminating:

- second rule makes terms smaller
- first rule makes 's' move upwards

Termination, Example 2

Example

$$f(g(x)) \rightarrow g(f(x))$$

$$\begin{aligned} & f(f(g(f(g(x)))))) \\ \rightarrow & f(f(g(g(f(x)))))) \\ \rightarrow & f(g(f(g(f(x)))))) \\ \rightarrow & g(f(f(g(f(x)))))) \\ \rightarrow & g(f(g(f(f(x)))))) \\ \rightarrow & g(g(f(f(f(x)))))) \end{aligned}$$

Looks terminating:

- f's move to the right
- g's move to the left

Termination, Example 3

Example

$$f(g(x)) \rightarrow g(g(f(f(x))))$$

Looks terminating:

- f's move to the right
- g's move to the left

But we have an infinite rewrite sequence:

$$\begin{aligned} & f(g(g(x))) \\ & \rightarrow g(g(f(f(g(x)))))) \\ & \rightarrow g(g(f(g(g(f(f(x))))))) \\ & \rightarrow \dots \end{aligned}$$

We need proofs of termination!

Termination, Example 4

Example

$$f(x, g(y)) \rightarrow f(x, x)$$

Looks terminating:

- right side is smaller than the left side

But we have an infinite rewrite sequence:

$$\begin{aligned} & f(g(x), g(x)) \\ \rightarrow & f(g(x), g(x)) \\ \rightarrow & \dots \end{aligned}$$

We need proofs of termination!

Definition (Termination $SN(R)$)

A rewrite system R is terminating if there are no infinite rewrite sequences.

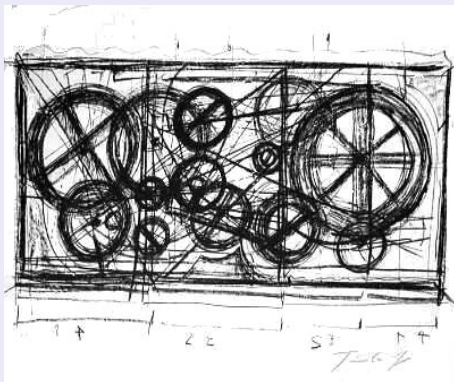
Termination Methods

Knuth-Bendix order, **polynomial interpretations**, multiset order, simple path order, **lexicographic path order**, semantic path order, recursive decomposition order, multiset path order, recursive path order, transformation order, elementary interpretations, type introduction, **well-founded monotone algebras**, general path order, semantic labeling, dummy elimination, **dependency pairs**, freezing, top-down labeling, monotonic semantic path order, context-dependent interpretations, match-bounds, size-change principle, matrix interpretations, predictive labeling, uncurrying, bounded increase, quasi-periodic interpretations, arctic interpretations, increasing interpretations, root-labeling, ...

Termination Research



Termination Research



Termination Tools

AProVE, Cariboo, CiME, Jambox, Termptation, Matchbox, MuTerm, NTI, Torpa, TPA, T_1T_2 , VMTL, ...

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Lemma

TRS R is terminating

\iff

\exists well-founded order $>$ on terms such that $s > t$ whenever $s \rightarrow_R t$

Example

- TRS

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

- well-founded order $>$

$$s > t \iff \varphi(s) >_{\mathbb{N}} \varphi(t) \text{ with } \varphi(u) = \begin{cases} 1 & \text{if } u = 0 \\ \varphi(v) + 1 & \text{if } u = s(v) \\ 2\varphi(v) + \varphi(w) & \text{if } u = v + w \\ 0 & \text{otherwise} \end{cases}$$

Remark

(very) inconvenient to check all rewrite *steps*

Definitions

A reduction order is well-founded order $>$ on terms which is

- closed under contexts $s > t \implies C[s] > C[t]$
- closed under substitutions $s > t \implies s\sigma > t\sigma$

Notation

$R \subseteq >$ if $\ell > r$ for all rules $\ell \rightarrow r$ in R

Theorem

TRS R is terminating $\iff R \subseteq >$ for reduction order $>$

Theorem

TRS R is terminating $\iff R \subseteq >$ for reduction order $>$

Proof \Rightarrow .

Let R be terminating.

We define $> = \rightarrow$.

Then:

- $>$ is well-founded since R is terminating,
- $>$ is closed under substitutions since \rightarrow is, and
- $>$ is closed under contexts since \rightarrow is.

Hence $>$ is a reduction order. Moreover $R \subseteq \rightarrow$. ■

Theorem

TRS R is terminating $\iff R \subseteq >$ for reduction order $>$

Proof \Leftarrow .

Let $>$ be reduction order such that $R \subseteq >$.

Recall that \rightarrow is the smallest relation S such that:

- $R \subseteq S$,
- S is closed under contexts, and
- S is closed under substitutions.

Then $\rightarrow \subseteq >$ since $>$ has these properties.

Assume there exists an infinite rewrite sequence: $t_0 \rightarrow t_1 \rightarrow t_2 \dots$

Then also $t_0 > t_1 > t_2 \dots$ since $\rightarrow \subseteq >$

However, this contradicts well-foundedness of $>$. ■

Constructing Reduction Orders

Idea: give semantics to terms by interpreting them into an algebra.

Definition

A Σ -algebra $(A, [\cdot])$ consists of:

- a non-empty set A ,
- and for every $f \in \Sigma$ an **interpretation function** $[f]: A^{\text{ar}(f)} \rightarrow A$.

Constructing Reduction Orders

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Definition

A Σ -algebra $(A, [\cdot])$ consists of:

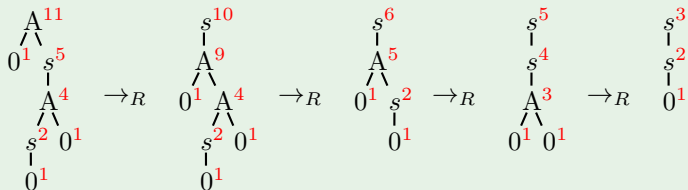
- a non-empty set A ,
- and for every $f \in \Sigma$ an interpretation function $[f]: A^{\text{ar}(f)} \rightarrow A$.

Example (We use the Σ -algebra $(\mathbb{N}, [\cdot])$ with)

$$[0] = 1$$

$$[s](x) = x + 1$$

$$[A](x, y) = x + 2 \cdot y$$



Interpretation of terms

Definition (Interpretation of Terms)

Let $\alpha : \mathcal{X} \rightarrow A$ be an interpretation of the variables.
We define the evaluation of terms

$$[\cdot, \alpha] : \mathcal{T}(\Sigma, \mathcal{X}) \rightarrow A$$

inductively:

$$\begin{aligned} [x, \alpha] &= \alpha(x) && \text{if } x \in \mathcal{X} \\ [f(t_1, \dots, t_n), \alpha] &= [f]([t_1, \alpha], \dots, [t_n, \alpha]) \end{aligned}$$

Example

$$[0] = 1 \qquad [s](x) = x + 1 \qquad [A](x, y) = x + 2 \cdot y$$

Let $\alpha(x) = 1$, $\alpha(y) = 3$, we calculate:

- $[A(0, s(0)), \alpha] = 5$,
- $[A(s(x), y), \alpha] = 8$.

Monotone Σ -algebras

A function $[f]$ is **monotone** (w.r.t. $>$) if

$$a > b \text{ implies } [f](\dots, a, \dots) > [f](\dots, b, \dots)$$

Definition

A **well-founded monotone Σ -algebra** $(A, [\cdot], >)$:

- a Σ -algebra $(A, [\cdot])$,
- a well-founded order $>$ on A
(no infinite chains $a_1 > a_2 > \dots$),
- such that for all $f \in \Sigma$ the function $[f]_{\mathcal{A}}$ is monotone.

Relation $>_{\mathcal{A}}$ on terms: $s >_{\mathcal{A}} t$ if $[s, \alpha] > [t, \alpha]$ for all assignments α .

Lemma

$>_{\mathcal{A}}$ is a reduction order for every well-founded monotone Σ -algebra $(A, [\cdot], >)$

Lemma

Let \mathcal{A} well-founded monotone Σ -algebra. Then $>_{\mathcal{A}}$ is closed under substitutions.

Proof.

Let $s, t \in \mathcal{T}(\Sigma, \mathcal{X})$ such that $t >_{\mathcal{A}} s$.

Let σ be a substitution. We show $t\sigma >_{\mathcal{A}} s\sigma$.

That is: $[t\sigma, \alpha] > [s\sigma, \alpha]$ for every $\alpha : \mathcal{X} \rightarrow A$.

Define $\beta : \mathcal{X} \rightarrow A$ by $\beta(x) = [\sigma(x), \alpha]$. Claim: $[u\sigma, \alpha] = [u, \beta]$ for all u .

- Proof of the claim by induction over the term structure of u :

$$\begin{aligned} [x\sigma, \alpha] &= [\sigma(x), \alpha] = [x, \beta] \\ [f(t_1, \dots, t_n)\sigma, \alpha] &= [f]([t_1\sigma, \alpha], \dots, [t_n\sigma, \alpha]) \\ &\stackrel{\text{IH}}{=} [f]([t_1, \beta], \dots, [t_n, \beta]) \\ &= [f(t_1, \dots, t_n), \beta] \end{aligned}$$

Hence $[t\sigma, \alpha] = [t, \beta] > [s, \beta] = [s\sigma, \alpha]$. ■

Lemma

Let \mathcal{A} well-founded monotone Σ -algebra. Then $>_{\mathcal{A}}$ is closed under contexts.

Proof.

Let $s, t \in \mathcal{T}(\Sigma, \mathcal{X})$ such that $t >_{\mathcal{A}} s$. We show $C[s] >_{\mathcal{A}} C[t]$ for every $C[\]$.

That is: $[C[t], \alpha] > [C[s], \alpha]$ for every $\alpha : \mathcal{X} \rightarrow A$.

Let $\alpha : \mathcal{X} \rightarrow A$. We show $[C[t], \alpha] > [C[s], \alpha]$ by induction over structure of $C[\]$:

$$C[\] \equiv [\] \Rightarrow [C[t]] = [t] > [s] = [C[s]]$$

$$C[\] \equiv f(t_1, \dots, t_n) \Rightarrow \text{Let } i \text{ such that } t_i \text{ contains the hole } \square.$$

Then $C[u] = f(t_1, \dots, t_i[u], \dots, t_n)$. Hence

$$[C[s], \alpha] = [f]([t_1, \alpha], \dots, [t_i[s], \alpha], \dots, [t_n, \alpha]).$$

$$[C[t], \alpha] = [f]([t_1, \alpha], \dots, [t_i[t], \alpha], \dots, [t_n, \alpha]).$$

By IH $[t_i[t], \alpha] > [t_i[s], \alpha]$.

By monotonicity $[C[t], \alpha] > [C[s], \alpha]$.



Lemma

Let \mathcal{A} well-founded monotone Σ -algebra. Then $>_{\mathcal{A}}$ is well-founded.

Proof.

Assume there is an infinite sequence $t_1 >_{\mathcal{A}} t_2 >_{\mathcal{A}} t_3 >_{\mathcal{A}} \dots$

Let $\alpha : \mathcal{X} \rightarrow A$. Then by definition of $>_{\mathcal{A}}$: $[t_1, \alpha] > [t_2, \alpha] > [t_3, \alpha] > \dots$

This infinite decreasing $>$ chain contradicts well-foundedness of $>$. ■

We have shown that:

- $>_{\mathcal{A}}$ is closed under substitutions
- $>_{\mathcal{A}}$ is closed under contexts
- $>_{\mathcal{A}}$ is well-founded

Hence we have proven that:

Lemma

$>_{\mathcal{A}}$ is a reduction order for every well-founded monotone Σ -algebra $(A, [\cdot], >)$

Theorem

TRS R is terminating $\iff R \subseteq >_{\mathcal{A}}$ for well-founded monotone algebra $(\mathcal{A}, >)$

Proof.

\Leftarrow Follows since we have shown that $>_{\mathcal{A}}$ is a reduction order.

\Rightarrow As Σ -algebra we take $(A, [\cdot], >)$ with

- $A = \mathcal{T}(\Sigma, \mathcal{X})$,
- $[f](t_1, \dots, t_n) = f(t_1, \dots, t_n)$,
- $> := \rightarrow$.

Then $>$ is well-founded since R is terminating.

Monotonicity of $>$ follows from closure of \rightarrow under contexts:

$$s > t \Rightarrow [f](\dots, s, \dots) = f(\dots, s, \dots) > f(\dots, t, \dots) = [f](\dots, t, \dots)$$

$R \subseteq >_{\mathcal{A}}$ since for all $\ell \rightarrow r \in R$ and $\alpha : \mathcal{X} \rightarrow A$:

$$[\ell, \alpha] = \ell\alpha > r\alpha = [r, \alpha].$$



Well-Founded Monotone Algebras

used in termination proofs/tools:

- polynomial interpretations over \mathbb{N}
- polynomial interpretations over \mathbb{Q} and \mathbb{R}
- matrix interpretations over \mathbb{N}
- matrix interpretations over $\mathbb{N} \cup \{-\infty\}$
- ...

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Polynomial interpretations

Definition

A **polynomial interpretations** over \mathbb{N} consists of:

- Σ -algebra is $(\mathbb{N}, [\cdot])$,
- $>$ defined as usual on \mathbb{N} ,
- the interpretations $[f]$ are polynomials.

The first two conditions of well-founded monotone Σ -algebras are fulfilled:

- $(\mathbb{N}, [\cdot])$ is a Σ -algebra
- $>$ is well-founded

However, to prove termination we need to check:

- **monotonicity** of the polynoms $[f]$, and
- $R \subseteq >$, that is, $[\ell, \alpha] > [r, \alpha]$ for all $\ell \rightarrow r \in R$ and $\alpha : \mathcal{X} \rightarrow A$

Example 1

Example

$$f(g(x)) \rightarrow f(f(x))$$

Find a polynomial interpretation over \mathbb{N} which proves termination:

$$[f](x) = ???$$

$$[g](x) = ???$$

Example 1

Example

$$f(g(x)) \rightarrow f(f(x))$$

Find a polynomial interpretation over \mathbb{N} which proves termination:

$$[f](x) = x$$

$$[g](x) = x + 1$$

- Are the functions $[f]$ monotone?

Yes, since whenever $a > b$, then

$$[f](a) = a > b = [f](b),$$

$$[g](a) = a + 1 > b + 1 = [g](b),$$

- Does $[\ell, \alpha] > [r, \alpha]$ hold?

Yes since,

$$[f(g(x)), \alpha] = [f]([g](\alpha(x))) = \alpha(x) + 1 > \alpha(x) = [f(f(x)), \alpha]$$

Hence we have proven termination.

Example 2

Example

$$f(g(x)) \rightarrow g(f(x))$$

Find a polynomial interpretation over \mathbb{N} which proves termination:

$$[f](x) = ???$$

$$[g](x) = ???$$

Example 2

Example

$$f(g(x)) \rightarrow g(f(x))$$

Find a polynomial interpretation over \mathbb{N} which proves termination:

$$[f](x) = 2 \cdot x$$

$$[g](x) = x + 1$$

- Are the functions $[f]$ monotone?

Yes, since whenever $a > b$, then

$$[f](a) = 2 \cdot a > 2 \cdot b = [f](b),$$

$$[g](a) = a + 1 > b + 1 = [g](b),$$

- Does $[\ell, \alpha] > [r, \alpha]$ hold?

Yes since,

$$[f(g(x)), \alpha] = 2 \cdot (\alpha(x) + 1) > 2 \cdot \alpha(x) + 1 = [g(f(x)), \alpha]$$

Hence we have proven termination.

Example 3

Example

$$A(x, 0) \rightarrow x$$

$$A(x, s(y)) \rightarrow s(A(x, y))$$

Find a polynomial interpretation over \mathbb{N} which proves termination:

$$[0] = ???$$

$$[s](x) = ???$$

$$[A](x, y) = ???$$

Example 3

Example

$$A(x, 0) \rightarrow x$$

$$A(x, s(y)) \rightarrow s(A(x, y))$$

Find a polynomial interpretation over \mathbb{N} which proves termination:

$$[0] = 1 \qquad [s](x) = x + 1 \qquad [A](x, y) = x + 2 \cdot y$$

- Are the functions $[f]$ monotone?

Yes, since whenever $a > b$, then

$$[s](a) = a + 1 > b + 1 = [s](b),$$

$$[A](a, y) = a + 2 \cdot y > b + 2 \cdot y = [A](b, y), \text{ and}$$

$$[A](x, a) = x + 2 \cdot a > x + 2 \cdot b = [A](x, b),$$

Example 3

Example

$$\begin{aligned} A(x, 0) &\rightarrow x \\ A(x, s(y)) &\rightarrow s(A(x, y)) \end{aligned}$$

Find a polynomial interpretation over \mathbb{N} which proves termination:

$$[0] = 1 \qquad [s](x) = x + 1 \qquad [A](x, y) = x + 2 \cdot y$$

- Does $[\ell, \alpha] > [r, \alpha]$ hold?

Yes since,

$$\begin{aligned} [A(x, s(y)), \alpha] &= [A](\alpha(x), [s](\alpha(y))) \\ &= \alpha(x) + 2 \cdot \alpha(y) + 2 \\ &> \alpha(x) + 2 \cdot \alpha(y) + 1 \\ &= [s(+ (x, y)), \alpha] \end{aligned}$$

$$[A(x, 0), \alpha] = \alpha(x) + 2 \cdot 1 > \alpha(x) = [x, \alpha]$$

Hence we have proven termination.

Why do we need the conditions?

Example ($>$ not well-founded)

Let $R = \{ f(x) \rightarrow f(f(x)) \}$ with the Σ -algebra $(\mathbb{Z}, [\cdot])$ and

$$[f](x) = x - 1$$

and $>$ as usual on \mathbb{Z} .

Then R is not terminating

$$f(x) \rightarrow f(f(x)) \rightarrow f(f(f(x))) \rightarrow \dots$$

but

- $[f]$ is monotone, and
- $[f(x), \alpha] = \alpha(x) - 1 > \alpha(x) - 2 = [f(f(x)), \alpha]$.

Hence $>$ needs to be well-founded!

Why do we need the conditions?

Example ($[f]$ not monotone)

Let $R = \{ f(x) \rightarrow g(f(x)) \}$ with the Σ -algebra $(\mathbb{N}, [\cdot])$ and

$$[f](x) = x + 1$$

$$[g](x) = 0$$

and $>$ as usual on \mathbb{N} .

Then R is not terminating

$$f(x) \rightarrow g(f(x)) \rightarrow g(g(f(x))) \rightarrow \dots$$

but

- $>$ is well-founded, and
- $[f(x), \alpha] = \alpha(x) + 1 > 0 = [g(f(x)), \alpha]$.

Hence the functions $[f]$ need to be monotone!

Question

How to find suitable polynomials ?

Modern Approach

- (a) choose abstract polynomial interpretations (linear, quadratic, ...)
- (b) transform rewrite rules into polynomial ordering constraints
- (c) add monotonicity and well-definedness constraints
- (d) eliminate universally quantified variables
- (e) translate resulting constraints to SAT or SMT problem

Example

- rewrite system

$$\begin{aligned}0 + y &\rightarrow y \\s(x) + y &\rightarrow s(x + y)\end{aligned}$$

- interpretations

$$\begin{aligned}0_{\mathcal{A}} &= a \\s_{\mathcal{A}}(x) &= bx + c \\+_{\mathcal{A}}(x, y) &= dx + ey + f\end{aligned}$$

- polynomial constraints $\forall x, y \in \mathbb{N}$

$$\begin{aligned}da + ey + f &> y \\d(bx + c) + ey + f &> b(dx + ey + f) + c \\a \geq 0 \quad b \geq 1 \quad c \geq 0 \quad d \geq 1 \quad e \geq 1 \quad f \geq 0\end{aligned}$$

Example

- rewrite system

$$\begin{aligned}0 + y &\rightarrow y \\s(x) + y &\rightarrow s(x + y)\end{aligned}$$

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- polynomial constraints $\forall x, y \in \mathbb{N}$

$$\begin{aligned}(e - 1)y + da + f &> 0 \\(e - be)y + dc + f - bf - c &> 0 \\a \geq 0 \quad b \geq 1 \quad c \geq 0 \quad d \geq 1 \quad e \geq 1 \quad f \geq 0\end{aligned}$$

Example

- rewrite system

$$\begin{aligned} 0 + y &\rightarrow y \\ s(x) + y &\rightarrow s(x + y) \end{aligned}$$

- interpretations

$$\begin{aligned} 0_{\mathcal{A}} &= a \\ s_{\mathcal{A}}(x) &= bx + c \\ +_{\mathcal{A}}(x, y) &= dx + ey + f \end{aligned}$$

- diophantine constraints

$$\begin{aligned} e - 1 &\geq 0 & da + f &> 0 \\ e - be &\geq 0 & dc + f - bf - c &> 0 \\ a &\geq 0 & b &\geq 1 & c &\geq 0 & d &\geq 1 & e &\geq 1 & f &\geq 0 \end{aligned}$$

- possible solution

$$a = 0 \quad b = 1 \quad c = 1 \quad d = 2 \quad e = 1 \quad f = 1$$

Example

- rewrite system

$$\begin{aligned}0 + y &\rightarrow y \\ s(x) + y &\rightarrow s(x + y)\end{aligned}$$

- interpretations

$$\begin{aligned}0_{\mathcal{A}} &= 0 \\ s_{\mathcal{A}}(x) &= x + 1 \\ +_{\mathcal{A}}(x, y) &= 2x + y + 1\end{aligned}$$

- diophantine constraints

$$\begin{aligned}e - 1 &\geq 0 & da + f &> 0 \\ e - be &\geq 0 & dc + f - bf - c &> 0 \\ a &\geq 0 & b &\geq 1 & c &\geq 0 & d &\geq 1 & e &\geq 1 & f &\geq 0\end{aligned}$$

- possible solution

$$a = 0 \quad b = 1 \quad c = 1 \quad d = 2 \quad e = 1 \quad f = 1$$

Example

- TRS

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

$$0 \times y \rightarrow 0$$

$$s(x) \times y \rightarrow y + (x \times y)$$

- interpretations in \mathbb{N}

$$0_{\mathcal{A}} = 1$$

$$s_{\mathcal{A}}(x) = x + 1$$

$$+_{\mathcal{A}}(x, y) = 2x + y$$

$$\times_{\mathcal{A}}(x, y) = 2xy + x + y + 1$$

- constraints $\forall x, y \in \mathbb{N}$

$$y + 2 > y$$

$$2x + y + 2 > 2x + y + 1$$

$$3y + 2 > 1$$

$$2xy + x + 3y + 2 > 2xy + x + 3y + 1$$

Example

- TRS

$$\begin{aligned} 0 + y &\rightarrow y \\ s(x) + y &\rightarrow s(x + y) \end{aligned}$$

$$\begin{aligned} 0 \times y &\rightarrow 0 \\ s(x) \times y &\rightarrow y + (x \times y) \end{aligned}$$

- interpretations in \mathbb{N}

$$\begin{aligned} 0_{\mathcal{A}} &= 1 & +_{\mathcal{A}}(x, y) &= 2x + y \\ s_{\mathcal{A}}(x) &= x + 1 & \times_{\mathcal{A}}(x, y) &= 2xy + x + y + 1 \end{aligned}$$

- constraints $\forall x, y \in \mathbb{N}$

$$\begin{aligned} 2 > 0 & & 3y + 1 > 0 \\ 1 > 0 & & 1 > 0 \end{aligned}$$

- $s(0) \times s(s(0)) \underset{18}{>} s(s(0)) + (0 \times s(s(0))) \underset{17}{>} s(s(0)) + 0 \underset{7}{>} s(s(0)) + 0 \underset{6}{>} s(s(0 + 0)) \underset{5}{>} s(s(0)) \underset{3}{>}$

Example

• TRS

$$\partial(x + y) \rightarrow \partial(x) + \partial(y) \qquad \partial(\alpha) = 1$$

$$\partial(x - y) \rightarrow \partial(x) - \partial(y) \qquad \partial(\beta) = 0$$

$$\partial(x \times y) \rightarrow (\partial(x) \times y) + (x \times \partial(y))$$

$$\partial(x \div y) \rightarrow ((\partial(x) \times y) - (x \times \partial(y))) \div (y \times y)$$

• interpretations in \mathbb{N}

$$\alpha_{\mathcal{A}} = \beta_{\mathcal{A}} = 0_{\mathcal{A}} = 1_{\mathcal{A}} = 1$$

$$+_{{\mathcal{A}}}(x, y) = -_{{\mathcal{A}}}(x, y) = \times_{{\mathcal{A}}}(x, y) = \div_{{\mathcal{A}}}(x, y) = x + y + 3$$

$$\partial_{\mathcal{A}}(x) = x^2 + 6x + 6$$

• constraints $\forall x, y \in \mathbb{N}$

$$x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 6x + 6y + 15 \qquad 13 > 1$$

$$x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 6x + 6y + 15 \qquad 13 > 1$$

$$x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 7x + 7y + 21$$

$$x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 7x + 9y + 27$$

Example

- TRS

$$\partial(x + y) \rightarrow \partial(x) + \partial(y) \qquad \partial(\alpha) = 1$$

$$\partial(x - y) \rightarrow \partial(x) - \partial(y) \qquad \partial(\beta) = 0$$

$$\partial(x \times y) \rightarrow (\partial(x) \times y) + (x \times \partial(y))$$

$$\partial(x \div y) \rightarrow ((\partial(x) \times y) - (x \times \partial(y))) \div (y \times y)$$

- interpretations in \mathbb{N}

$$\alpha_{\mathcal{A}} = \beta_{\mathcal{A}} = 0_{\mathcal{A}} = 1_{\mathcal{A}} = 1$$

$$+_{\mathcal{A}}(x, y) = -_{\mathcal{A}}(x, y) = \times_{\mathcal{A}}(x, y) = \div_{\mathcal{A}}(x, y) = x + y + 3$$

$$\partial_{\mathcal{A}}(x) = x^2 + 6x + 6$$

- constraints $\forall x, y \in \mathbb{N}$

$$2xy + 6x + 6y + 18 > 0 \qquad 13 > 1$$

$$2xy + 6x + 6y + 18 > 0 \qquad 13 > 1$$

$$2xy + 5x + 5y + 12 > 0$$

$$2xy + 5x + 3y + 6 > 0$$

Remark

Numerous terminating TRSs are not polynomially terminating.

polynomial interpretations

terminating TRSs

A non-simply terminating TRS: $f(f(x)) \rightarrow f(g(f(x)))$

Example ($S = \{f(f(x)) \rightarrow f(g(f(x)))\}$)

There exists no monotone Σ -algebra \mathcal{A} with $A = \mathbb{N}$ proving $\text{SN}(S)$.

Assume contrary it would exist. Let $\alpha : \mathcal{X} \rightarrow A$, then:

$$[f(f(x)), \alpha] > [f(g(f(x))), \alpha].$$

But then also

$$[f(x), \alpha] > [g(f(x)), \alpha]$$

since otherwise:

- $[f(x), \alpha] = [g(f(x)), \alpha]$ implies $[f(f(x)), \alpha] = [f(g(f(x))), \alpha]$,
- $[f(x), \alpha] < [g(f(x)), \alpha]$ implies $[f(f(x)), \alpha] < [f(g(f(x))), \alpha]$

by monotonicity.

But then \mathcal{A} would also prove termination of $f(x) \rightarrow g(f(x))$.

Thus we need another Σ -algebra, for example $A = \mathbb{N}^2$.

A non-simply terminating TRS: $f(f(x)) \rightarrow f(g(f(x)))$

Example ($S = \{f(f(x)) \rightarrow f(g(f(x)))\}$)

We choose the Σ -algebra $(\mathbb{N}^2, [\cdot])$ with:

$$[f](\vec{x}) = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \cdot \vec{x} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} \qquad [g](\vec{x}) = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \cdot \vec{x} + \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

where $>$ on \mathbb{N}^2 is defined as follows:

$$\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} > \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \iff x_1 > y_1 \text{ and } x_2 \geq y_2$$

Let $\alpha: \mathcal{X} \rightarrow A$ be arbitrary; write $\vec{x} = \alpha(x)$. We obtain

$$[f(f(x))] = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \cdot \vec{x} + \begin{pmatrix} 1 \\ 1 \end{pmatrix} > \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \cdot \vec{x} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} = [f(g(f(x)))]$$

Hence S is terminating.

Termination via Dependency Pairs

Minimal Terms

We call a term is **minimal** if all its strict subterms are terminating.

Theorem

Let R be a non-terminating TRS.

Then there exists a minimal term which is non-terminating.

Proof.

Since R is non-terminating, there exists a non-terminating term t .

We prove: every non-terminating term t contains a minimal subterm.

We use induction on the term structure of t :

- Base case: all strict subterms of t are terminating. Then t is minimal itself.
- Induction step: t has a strict subterm t' which is not terminating.

Then by IH the term t' contains a minimal, non-terminating subterm t'' .

The term t'' is a minimal non-terminating subterm of t .

Steps below the root do not change minimality:

Lemma

Let t be minimal and $t \rightarrow s$ a rewrite step below the root. Then s is minimal.

Proof.

We have $t = f(t_1, \dots, t_n)$ and $s = f(s_1, \dots, s_n)$ and $i \in \mathbb{N}$ such that:

- $t_i \rightarrow s_i$, and
- $t_j = s_j$ for all $j \neq i$.

Then since t is minimal, it follows that all t_k are terminating.

Since $t_k \rightarrow^* s_k$, we obtain s_k is terminating for all $1 \leq k \leq n$.

Hence s is minimal. ■

Dependency Pairs, Introduction

Assume R is non-terminating. There exists a minimal, non-terminating term t_0 .

$$t_0 \rightarrow_R t_1 \rightarrow_R t_2 \rightarrow_R \dots$$

At some point there must be a root step $t_i \rightarrow t_{i+1}$.

Proof.

Assume all steps would be below the root. Then:

- all t_i are minimal,
- all rewrite steps are in terminating terminating.

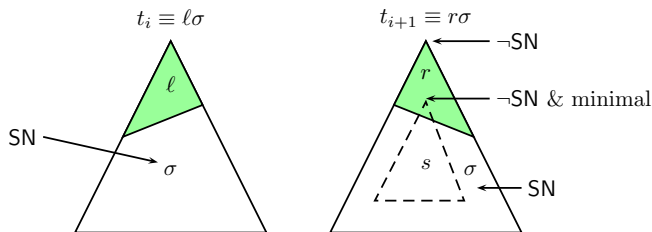


Notation: we use $\xrightarrow{\text{top}}_R$ to denote root rewrite steps.

Dependency Pairs, Introduction

Let t_0 be a minimal and $t_0 \rightarrow_R t_1 \rightarrow_R t_2 \rightarrow_R \dots$ an infinite rewrite sequence.

We consider the first root rewrite step $t_i \rightarrow t_{i+1}$:



- The term t_{i+1} contains a minimal, non-terminating subterm s .
- The root of s lies in the pattern of r .

Hence there exists a non-variable subterm r' of r such that $s = r'\sigma$.

Idea: add a rule $\ell \rightarrow r'$ then $t_i \rightarrow s$.

Dependency Pairs

For every $f \in \Sigma$ let $f_{\#}$ be a fresh symbol with the same arity as f .
 By $t_{\#}$ we denote $f_{\#}(t_1, \dots, t_n)$ for $t = f(t_1, \dots, t_n) \in \mathcal{T}(\Sigma, \mathcal{X})$.

Definition (Dependency Pairs)

$$\text{DP}(R) = \{ \ell_{\#} \rightarrow r'_{\#} \mid \ell \rightarrow r \in R, r' \trianglelefteq r \text{ with } r' \notin \mathcal{X} \}$$

Example

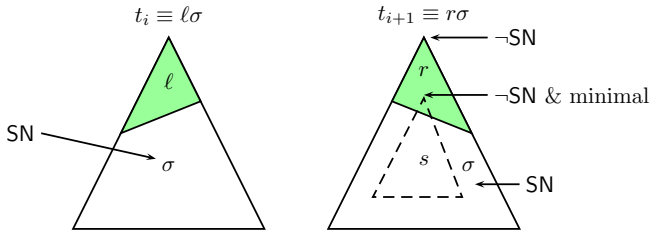
$$R = \{ f(x) \rightarrow g(f(x)) \}$$

$$\text{DP}(R) = \{ f_{\#}(x) \rightarrow g_{\#}(f(x)), \\ f_{\#}(x) \rightarrow f_{\#}(x) \}$$

Dependency Pairs

Let t_0 be a minimal and $t_0 \rightarrow_R t_1 \rightarrow_R t_2 \rightarrow_R \dots$ an infinite rewrite sequence.

We consider the first root rewrite step $t_i \rightarrow t_{i+1}$:



Then

$$t_{0,\#} \rightarrow_R \dots \rightarrow_R t_{i,\#} \xrightarrow{\text{top}}_{\text{DP}(R)} s\#$$

Repeating the construction with s yields:

$$t_{0,\#} \rightarrow_R^* \cdot \xrightarrow{\text{top}}_{\text{DP}(R)} \cdot \rightarrow_R^* \cdot \xrightarrow{\text{top}}_{\text{DP}(R)} \cdot \rightarrow_R^* \cdot \xrightarrow{\text{top}}_{\text{DP}(R)} \dots$$

an infinite rewrite sequence containing infinitely many $\text{DP}(R)$ steps.

Dependency Pairs

Lemma

Let R be a non-terminating TRS. Then there exists a rewrite sequence:

$$t_{0,\#} \rightarrow_R^* \cdot \xrightarrow{top} DP(R) \cdot \rightarrow_R^* \cdot \xrightarrow{top} DP(R) \cdot \rightarrow_R^* \cdot \xrightarrow{top} DP(R) \dots$$

such that:

- the sequence contains infinitely many $DP(R)$ steps,
- all R steps are below the root, and
- all $DP(R)$ steps are at the root.

Dependency Pairs, Examples

Example

$$R = \{ f(g(x)) \rightarrow g(g(f(f(x)))) \}$$

$$\begin{aligned} DP(R) = \{ & f_{\#}(g(x)) \rightarrow g_{\#}(g(f(f(x))))), \\ & f_{\#}(g(x)) \rightarrow g_{\#}(f(f(x))), \\ & f_{\#}(g(x)) \rightarrow f_{\#}(f(x)), \\ & f_{\#}(g(x)) \rightarrow f_{\#}(x) \} \end{aligned}$$

R is non-terminating:

$$f(g(g(x))) \rightarrow g(g(f(f(g(x)))))) \rightarrow g(g(f(g(g(f(f(x))))))) \rightarrow \dots$$

How does an infinite $\rightarrow_R \cup \xrightarrow{top}_{DP(R)}$ rewrite sequence look like?

$$f_{\#}(g(g(x))) \xrightarrow{top}_{DP(R)} f_{\#}(f(g(x))) \rightarrow_R f_{\#}(g(g(f(f(x)))))) \rightarrow \dots$$

Dependency Pairs, Examples

Example

$$R = \{ A(x, s(y)) \rightarrow s(A(x, y)), \\ A(x, 0) \rightarrow x \}$$

$$DP(R) = \{ A_{\#}(x, s(y)) \rightarrow s_{\#}(A(x, y)), \\ A_{\#}(x, s(y)) \rightarrow A_{\#}(x, y) \}$$

Dependency Pairs, Main Theorem

Definition (Relative Termination)

A relation \rightarrow_1 is called **terminating relative to** \rightarrow_2 , denoted $\text{SN}(\rightarrow_1 / \rightarrow_2)$, if every $\rightarrow_1 \cup \rightarrow_2$ rewrite sequence contains only finitely many \rightarrow_1 steps.

Lemma

$$\text{SN}(\rightarrow_1 / \rightarrow_2) \iff \text{SN}(\rightarrow_2^* \cdot \rightarrow_1 \cdot \rightarrow_2^*)$$

The main theorem from dependency pairs is:

Theorem

$$\text{SN}(R) \iff \text{SN}(\text{DP}(R)_{\text{top}}/R)$$

That is, a TRS R is terminating if and only if $\xrightarrow{\text{top}}_{\text{DP}(R)}$ terminates relative to \rightarrow_R .

Dependency Pairs, Termination Proofs

Definition

A well-founded weakly monotone Σ -algebra $(A, [\cdot], >, \succeq)$ consists of:

- a Σ -algebra $(A, [\cdot])$ with relations $>, \succeq$ on A
- $>$ is well-founded,
- $> \cdot \succeq \subseteq >$ (compatibility),
- for all $f \in \Sigma$ the function $[f]$ is monotone w.r.t. \succeq

Theorem

$\text{SN}(\text{DP}(R)_{\text{top}}/R)$ if there exists a weakly monotone Σ -algebra s.t.

- $\text{DP}(R) \subseteq >$ *that is, $[l, \alpha] > [r, \alpha] \quad \forall \alpha, l \rightarrow r \in \text{DP}(R)$*
- $R \subseteq \succeq$ *that is, $[l, \alpha] \succeq [r, \alpha] \quad \forall \alpha, l \rightarrow r \in R$*

Advantages: **no monotonicity for $>$** , and **\succeq not well-founded**.

Frequently used are **polynomial interpretations** over \mathbb{N} :

- $>$ as usual on \mathbb{N} and $\succeq := \geq$
- the interpretations $[f]$ are polynomials

We will see some examples. . .

Example: $f(f(x)) \rightarrow f(g(f(x)))$

Example

$$\text{DP}(R) = \{ f_{\#}(f(x)) \rightarrow f_{\#}(g(f(x))), \\ f_{\#}(f(x)) \rightarrow g_{\#}(f(x)), \\ f_{\#}(f(x)) \rightarrow f_{\#}(x) \}$$

$$[f](x) = ???$$

$$[f_{\#}](x) = ???$$

$$[g](x) = ???$$

$$[g_{\#}](x) = ???$$

Example: $f(f(x)) \rightarrow f(g(f(x)))$

Example

$$\begin{aligned} DP(R) = \{ & f_{\#}(f(x)) \rightarrow f_{\#}(g(f(x))), \\ & f_{\#}(f(x)) \rightarrow g_{\#}(f(x)), \\ & f_{\#}(f(x)) \rightarrow f_{\#}(x) \} \end{aligned}$$

$$[f](x) = x + 1 \quad [f_{\#}](x) = x + 1 \quad [g](x) = 0 \quad [g_{\#}](x) = 0$$

- Are the functions $[f]$ monotone w.r.t. \geq ?

Yes, since whenever $a \geq b$, then

$$[f](a) = a \geq b = [f](b),$$

$$[g](a) = 0 \geq 0 = [g](b),$$

Example: $f(f(x)) \rightarrow f(g(f(x)))$

Example

$$\begin{aligned} DP(R) = \{ & f_{\#}(f(x)) \rightarrow f_{\#}(g(f(x))), \\ & f_{\#}(f(x)) \rightarrow g_{\#}(f(x)), \\ & f_{\#}(f(x)) \rightarrow f_{\#}(x) \} \end{aligned}$$

$$[f](x) = x + 1$$

$$[f_{\#}](x) = x + 1$$

$$[g](x) = 0$$

$$[g_{\#}](x) = 0$$

- Does $[\ell, \alpha] > [r, \alpha]$ for all $\ell \rightarrow r \in DP(R)$ hold?

$$[f_{\#}(f(x)), \alpha] = \alpha(x) + 2 > 1 = [f_{\#}(g(f(x))), \alpha]$$

$$[f_{\#}(f(x)), \alpha] = \alpha(x) + 2 > 0 = [g_{\#}(f(x)), \alpha]$$

$$[f_{\#}(f(x)), \alpha] = \alpha(x) + 2 > \alpha(x) + 1 = [f_{\#}(x), \alpha]$$

- Does $[\ell, \alpha] \geq [r, \alpha]$ for all $\ell \rightarrow r \in R$ hold?

$$[f(f(x)), \alpha] = \alpha(x) + 2 \geq 1 = [f(g(f(x))), \alpha]$$

Hence we have proven termination.

Stepwise Termination Proofs

Stepwise termination proofs with monotone Σ -algebras:

Theorem

If there exists a monotone Σ -algebra $(A, [\cdot], >)$ s.t.

- $R \subseteq \geq$, and
- $R' \subseteq >$, and

where $\geq := > \cup =$. Then

$$\text{SN}(R) \implies \text{SN}(R \cup R')$$

This theorem allows us to stepwise remove rules until none are left.

Remark

Instead of \geq we can more generally use a monotone relation \succeq with $> \cdot \succeq \subseteq >$

Example

Example

$$f(f(x)) \rightarrow g(x)$$

$$f(g(x)) \rightarrow g(f(x))$$

We use the following interpretation:

$$[f](x) = x + 1$$

$$[g](x) = x + 1$$

We get the following interpretation of rules:

$$[f(g(x)), \alpha] = \alpha(x) + 2 > \alpha(x) + 1 = [g(x), \alpha]$$

$$[f(g(x)), \alpha] = \alpha(x) + 2 \geq \alpha(x) + 2 = [g(f(x)), \alpha]$$

The first rule is strictly decreasing, hence we can remove it.

Thus for termination it suffices to show $\text{SN}(f(g(x)) \rightarrow g(f(x)))$.

We have already shown this a few slides ago.

Hence we have proven termination.

Stepwise Termination Proofs

Stepwise termination proofs with dependency pairs:

Theorem

If there exists a weakly monotone Σ -algebra s.t.

- $T_1 \subseteq >$
- $T_2 \cup R \subseteq \succeq$

Then

$$\text{SN}(T_{2,\text{top}}/R) \implies \text{SN}((T_1 \cup T_2)_{\text{top}}/R)$$

That is, we may remove the strictly decreasing **top-rules**.

Typically, $T_1, T_2 \subseteq \text{DP}(R)$. But we can also tackle other top-termination problems.

We are **not** allowed to remove strictly decreasing rules in R !
(for removing from R we need monotonic interpretations)

Example

$$\text{minus}(x, 0) \rightarrow x$$

$$\text{minus}(s(x), s(y)) \rightarrow \text{minus}(x, y)$$

$$\text{quot}(0, s(y)) \rightarrow 0$$

$$\text{quot}(s(x), s(y)) \rightarrow s(\text{quot}(\text{minus}(x, y), s(y)))$$

$$\text{DP}(R) = \{ \text{minus}_{\#}(s(x), s(y)) \rightarrow \text{minus}_{\#}(x, y)$$

$$\text{quot}_{\#}(0, s(y)) \rightarrow 0_{\#}$$

$$\text{quot}_{\#}(s(x), s(y)) \rightarrow s_{\#}(\text{quot}(\text{minus}(x, y), s(y)))$$

$$\text{quot}_{\#}(s(x), s(y)) \rightarrow \text{quot}_{\#}(\text{minus}(x, y), s(y))$$

$$\text{quot}_{\#}(s(x), s(y)) \rightarrow \text{minus}_{\#}(x, y)$$

$$\text{quot}_{\#}(s(x), s(y)) \rightarrow s_{\#}(y) \}$$

We use the interpretation:

$$[\text{minus}_{\#}](x, y) = 1 \quad [\text{quot}_{\#}](x, y) = 1 \quad [\text{minus}](x, y) = x \quad [s](x) = x$$

$$[f](\vec{x}) = 0 \text{ for all other symbols } f$$

Example

$$\text{minus}(x, 0) \rightarrow x$$

$$\text{minus}(s(x), s(y)) \rightarrow \text{minus}(x, y)$$

$$\text{quot}(0, s(y)) \rightarrow 0$$

$$\text{quot}(s(x), s(y)) \rightarrow s(\text{quot}(\text{minus}(x, y), s(y)))$$

$$\text{DP}(R) = \{ \text{minus}_{\#}(s(x), s(y)) \rightarrow \text{minus}_{\#}(x, y)$$

$$\text{quot}_{\#}(0, s(y)) \rightarrow 0_{\#}$$

$$\text{quot}_{\#}(s(x), s(y)) \rightarrow s_{\#}(\text{quot}(\text{minus}(x, y), s(y)))$$

$$\text{quot}_{\#}(s(x), s(y)) \rightarrow \text{quot}_{\#}(\text{minus}(x, y), s(y))$$

$$\text{quot}_{\#}(s(x), s(y)) \rightarrow \text{minus}_{\#}(x, y)$$

$$\text{quot}_{\#}(s(x), s(y)) \rightarrow s_{\#}(y) \}$$

The following interpretation removes the remaining DP rules and proves:

$$[\text{minus}_{\#}](x, y) = [\text{minus}](x, y) = [\text{quot}_{\#}](x, y) = [\text{quot}](x, y) = x$$

$$[s](x) = x + 1 \quad [0] = 0$$

Dependency Graphs

Example

$$\text{minus}(x, 0) \rightarrow x$$

$$\text{minus}(s(x), s(y)) \rightarrow \text{minus}(x, y)$$

$$\text{quot}(0, s(y)) \rightarrow 0$$

$$\text{quot}(s(x), s(y)) \rightarrow s(\text{quot}(\text{minus}(x, y), s(y)))$$

Dependency graph: analysis which DP-rules may follow each other

$$(1) \text{minus}_{\#}(s(x), s(y)) \rightarrow \text{minus}_{\#}(x, y) \quad \curvearrowright$$



$$(2) \text{quot}_{\#}(s(x), s(y)) \rightarrow \text{minus}_{\#}(x, y)$$



$$(3) \text{quot}_{\#}(s(x), s(y)) \rightarrow \text{quot}_{\#}(\text{minus}(x, y), s(y)) \quad \curvearrowright$$

Idea: consider only strongly connected components $\text{SN}(\{1\}_{top}/R)$, $\text{SN}(\{3\}_{top}/R)$.

Subterm Criterion

Theorem (Subterm Criterion)

Let R be a TRS, $T_1, T_2 \subseteq DP(R)$, and $\pi : \Sigma_{\#} \rightarrow \mathbb{N}$ such that:

- $s_{\pi(f_{\#})} \triangleright t_{\pi(g_{\#})}$ for every rule $f_{\#}(s_1, \dots, s_n) \rightarrow g_{\#}(t_1, \dots, t_m) \in T_1$
- $s_{\pi(f_{\#})} = t_{\pi(g_{\#})}$ for every rule $f_{\#}(s_1, \dots, s_n) \rightarrow g_{\#}(t_1, \dots, t_m) \in T_2$

Then:

$$SN(T_{2,top}/R) \implies SN((T_1 \cup T_2)_{top}/R)$$

Proof.

After the dependency pairs transformation, we consider only **minimal terms**.

We can only finitely often make a terminating term smaller (\triangleright). ■

Example: Ackermann function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

$$\text{Ack}(s(x), s(y)) \rightarrow \text{Ack}(x, \text{Ack}(s(x), y))$$

$$\text{DP}(R) = \{ \text{Ack}_{\#}(0, y) \rightarrow s_{\#}(y)$$

$$\text{Ack}_{\#}(s(x), 0) \rightarrow \text{Ack}_{\#}(x, s(0))$$

$$\text{Ack}_{\#}(s(x), 0) \rightarrow s_{\#}(0)$$

$$\text{Ack}_{\#}(s(x), 0) \rightarrow 0_{\#}$$

$$\text{Ack}_{\#}(s(x), s(y)) \rightarrow \text{Ack}_{\#}(x, \text{Ack}(s(x), y))$$

$$\text{Ack}_{\#}(s(x), s(y)) \rightarrow \text{Ack}_{\#}(s(x), y)$$

$$\text{Ack}_{\#}(s(x), s(y)) \rightarrow s_{\#}(x) \}$$

We use the interpretation:

$$[\text{Ack}_{\#}](x, y) = 1 \quad [\text{Ack}](x, y) = 0 \quad [s](x) = 0 \quad [0] = 0 \quad s_{\#}(x) = 0$$

Example: Ackermann function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

$$\text{Ack}(s(x), s(y)) \rightarrow \text{Ack}(x, \text{Ack}(s(x), y))$$

$$\text{DP}(R) = \{ \text{Ack}_{\#}(s(x), 0) \rightarrow \text{Ack}_{\#}(x, s(0))$$

$$\text{Ack}_{\#}(s(x), s(y)) \rightarrow \text{Ack}_{\#}(x, \text{Ack}(s(x), y))$$

$$\text{Ack}_{\#}(s(x), s(y)) \rightarrow \text{Ack}_{\#}(s(x), y) \}$$

We use the subterm criterion:

$$\pi(\text{Ack}_{\#}) = ?$$

Example: Ackermann function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

$$\text{Ack}(s(x), s(y)) \rightarrow \text{Ack}(x, \text{Ack}(s(x), y))$$

$$\text{DP}(R) = \{ \text{Ack}_{\#}(s(x), 0) \rightarrow \text{Ack}_{\#}(x, s(0))$$

$$\text{Ack}_{\#}(s(x), s(y)) \rightarrow \text{Ack}_{\#}(x, \text{Ack}(s(x), y))$$

$$\text{Ack}_{\#}(s(x), s(y)) \rightarrow \text{Ack}_{\#}(s(x), y) \}$$

We use the subterm criterion:

$$\pi(\text{Ack}_{\#}) = 1$$

We can remove the first two DP-rules.

Example: Ackermann function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

$$\text{Ack}(s(x), s(y)) \rightarrow \text{Ack}(x, \text{Ack}(s(x), y))$$

$$\text{DP}(R) = \{ \text{Ack}_{\#}(s(x), s(y)) \rightarrow \text{Ack}_{\#}(s(x), y) \}$$

We use the subterm criterion:

$$\pi(\text{Ack}_{\#}) = ?$$

Example: Ackermann function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

$$\text{Ack}(s(x), s(y)) \rightarrow \text{Ack}(x, \text{Ack}(s(x), y))$$

$$\text{DP}(R) = \{ \text{Ack}_{\#}(s(x), s(y)) \rightarrow \text{Ack}_{\#}(s(x), y) \}$$

We use the subterm criterion:

$$\pi(\text{Ack}_{\#}) = 2$$

We can remove the remaining DP-rule.

Hence we have proven termination.

Iterative Lexicographic Path Order (ILPO)

ILPO... Historical overview

Kamin and Lévy [1980] (lexicographic path order, LPO):

- Kruskal's Tree Theorem was used in the original proofs
- Buchholz [1995] simplified the proof: Kruskal not needed

Bergsta and Klop [1985]:

- Iterative version of RPO: 'star method'
- Operational definition of reduction order via an auxiliary term rewriting system (with stars)

Klop, van Oostrom and de Vrijer [2005]:

- Extension of the star method to LPO
- Iterative lexicographic path order (ILPO)

ILPO... The star TRS $\mathcal{L}ex_{\succ}$

Given a terminating relation \succ on signature Σ , define TRS $\mathcal{L}ex_{\succ}$

- Signature: $\Sigma \uplus \Sigma^*$, where $\Sigma^* = \{f^* \mid f \in \Sigma\}$
 f^* is fresh and has the same arity as f
- Reduction rules (four types, for arbitrary $f, g \in \Sigma$):

$$f(\vec{x}) \rightarrow_{\text{put}} f^*(\vec{x})$$

$$f^*(\vec{x}) \rightarrow_{\text{select}} x_i$$

$$f^*(\vec{x}) \rightarrow_{\text{copy}} g(f^*(\vec{x}), \dots, f^*(\vec{x})) \quad \text{if } f \succ g$$

$$f^*(\vec{x}, g(\vec{y}), \vec{z}) \rightarrow_{\text{lex}} f(\vec{x}, g^*(\vec{y}), l, \dots, l) \quad \text{where } l = f^*(\vec{x}, g(\vec{y}), \vec{z})$$

Definition (ILPO)

\succ_{ilpo} is the restriction of $\rightarrow_{\mathcal{L}ex_{\succ}}^+$ to terms over Σ , i.e.

$$t \succ_{ilpo} s \iff t \rightarrow_{\mathcal{L}ex_{\succ}}^+ s \wedge t, s \in T(\Sigma \uplus V)$$

ILPO

Claim

\succ_{ilpo} is a reduction order, that is:

- \succ_{ilpo} is well-founded, and
- closed under substitution and contexts

The closure under substitutions and contexts is immediate since $\rightarrow_{\mathcal{L}ex_{\succ}}^+$ is.

Only required: proof of termination of \succ_{ilpo} .

Corollary

A TRS \mathcal{R} is terminating if $\mathcal{R} \subseteq \succ_{ilpo}$.

Proof. \succ_{ilpo} is a reduction order with $\mathcal{R} \subseteq \succ_{ilpo}$.

Example, Addition and multiplication

$$\begin{array}{l}
 A(x, 0) \rightarrow x \\
 A(x, S(y)) \rightarrow S(A(x, y)) \\
 M(x, 0) \rightarrow 0 \\
 M(x, S(y)) \rightarrow A(x, M(x, y))
 \end{array}$$

Use relation R given by $M \succ A$ and $A \succ S$.

For each reduction rule a corresponding Lex-reductions:

$$\begin{array}{l}
 A(x, 0) \rightarrow_{\text{put}} A^*(x, 0) \rightarrow_{\text{select}} x \\
 A(x, S(y)) \rightarrow_{\text{put}} A^*(x, S(y)) \rightarrow_{\text{copy}} S(A^*(x, S(y))) \\
 \quad \rightarrow_{\text{lex}} S(A(x, S^*(y))) \rightarrow_{\text{select}} S(A(x, y)) \\
 M(x, 0) \rightarrow_{\text{put}} M^*(x, 0) \rightarrow_{\text{select}} 0 \\
 M(x, S(y)) \rightarrow_{\text{put}} M^*(x, S(y)) \rightarrow_{\text{copy}} A(M^*(x, S(y)), M^*(x, S(y))) \\
 \quad \rightarrow_{\text{select}} A(x, M^*(x, S(y))) \rightarrow_{\text{lex}} A(x, M(x, S^*(y))) \\
 \quad \rightarrow_{\text{select}} A(x, M(x, y))
 \end{array}$$

Termination for \succ_{ilpo} via termination of $\mathcal{L}ex^\omega$

But $\mathcal{L}ex$ is in general not terminating, e.g. if $A > S$, then

$$\begin{aligned} A(x, y) &\rightarrow_{\text{put}} A^*(x, y) \\ &\rightarrow_{\text{copy}} S(A^*(x, y)) \\ &\rightarrow_{\text{copy}} S(S(A^*(x, y))) \\ &\dots \end{aligned}$$

Starred symbol A^* is 'used' infinitely often.

This is essential in **any** infinite reduction!

Idea:

- use **numbers instead of stars**,
- the numbers fix how often a symbol can be used.

\Rightarrow Yields a terminating TRS $\mathcal{L}ex^\omega_\succ$.

Auxiliary TRS with numerical control symbols

Given a terminating relation \succ on signature Σ , define TRS $\mathcal{Lex}_\succ^\omega$

- Signature: $\Sigma \uplus \Sigma^\omega$, where $\Sigma^\omega = \{f^n \mid f \in \Sigma, n \in \mathbb{N}\}$

f^n is fresh and has same arity as f

- Reduction rules:

$$f(\vec{x}) \rightarrow_{\text{put}} f^n(\vec{x})$$

$$f^n(\vec{x}) \rightarrow_{\text{select}} x_i$$

$$f^{n+1}(\vec{x}) \rightarrow_{\text{copy}} g(f^n(\vec{x}), \dots, f^n(\vec{x})) \quad \text{if } f \succ g$$

$$f^{n+1}(\vec{x}, g(\vec{y}), \vec{z}) \rightarrow_{\text{lex}} f(\vec{x}, g^n(\vec{y}), l, \dots, l) \quad \text{where } l = f^n(\vec{x}, g(\vec{y}), \vec{z})$$

Back and forth between $\mathcal{L}ex$ and $\mathcal{L}ex^\omega$

From $\rightarrow_{\mathcal{L}ex^\omega}$ to $\rightarrow_{\mathcal{L}ex}$:

- every reduction can be transformed by replacing f^n by f^* .

From $\rightarrow_{\mathcal{L}ex}$ to $\rightarrow_{\mathcal{L}ex^\omega}$:

- every finite reduction can be lifted, in particular
- every reduction between two starless terms can be lifted.

For example:

$$\begin{aligned} M(x, S(y)) &\rightarrow_{\text{put}} M^*(x, S(y)) \rightarrow_{\text{copy}} A(M^*(x, S(y)), M^*(x, S(y))) \\ &\rightarrow_{\text{select}} A(x, M^*(x, S(y))) \rightarrow_{\text{lex}} A(x, M(x, S^*(y))) \\ &\rightarrow_{\text{select}} A(x, M(x, y)) \end{aligned}$$

becomes:

$$\begin{aligned} M(x, S(y)) &\rightarrow_{\text{put}} M^2(x, S(y)) \rightarrow_{\text{copy}} A(M^1(x, S(y)), M^1(x, S(y))) \\ &\rightarrow_{\text{select}} A(x, M^1(x, S(y))) \rightarrow_{\text{lex}} A(x, M(x, S^0(y))) \\ &\rightarrow_{\text{select}} A(x, M(x, y)) \end{aligned}$$

$\mathcal{L}ex$ and $\mathcal{L}ex^\omega$

Theorem

$\rightarrow_{\mathcal{L}ex_\succ}^+$ and $\rightarrow_{\mathcal{L}ex_\succ^\omega}^+$ coincide on $T(\Sigma \uplus V)$

Note that the infinite reduction

$$\begin{aligned} A(x, y) &\rightarrow_{\text{put}} A^*(x, y) \\ &\rightarrow_{\text{copy}} S(A^*(x, y)) \\ &\rightarrow_{\text{copy}} S(S(A^*(x, y))) \\ &\dots \end{aligned}$$

cannot be lifted:

$$\begin{aligned} A(x, y) &\rightarrow_{\text{put}} A^?(x, y) \\ &\rightarrow_{\text{copy}} S(A^{?-1}(x, y)) \\ &\rightarrow_{\text{copy}} S(S(A^{?-2}(x, y))) \\ &\dots \end{aligned}$$

Termination of $\mathcal{L}ex^\omega$ à la Buchholz

Prove the implication

$$t_1, \dots, t_n \text{ are terminating} \implies f^\ell(t_1, \dots, t_n) \text{ is terminating}$$

by induction on triple $\langle f, \vec{t}, \ell \rangle$ in ordering $\langle \succ, (\rightarrow_{\mathcal{L}ex^\omega})^n, \succ \rangle$.

- Here $(\rightarrow_{\mathcal{L}ex^\omega})^n$ is the lexicographic order on n -tuples
- No label ℓ counts as ∞ with $\infty > n$.

In general a term is SN if all one-step reducts are SN.

\Rightarrow We check all one step reducts of $f^\ell(t_1, \dots, t_n)$.

Termination of $\mathcal{L}ex^\omega$ à la Buchholz

Prove the implication

$$t_1, \dots, t_n \text{ are terminating} \implies f^\ell(t_1, \dots, t_n) \text{ is terminating}$$

by induction on triple $\langle f, \vec{t}, \ell \rangle$ in ordering $\langle \succ, (\rightarrow_{\mathcal{L}ex^\omega})^n, \succ \rangle$.

Case 1. Internal step $f^\ell(\dots, t_i, \dots) \rightarrow f^\ell(\dots, t'_i, \dots)$.

The triple decreases in the second component.

Case 2. $f(t_1, \dots, t_n) \rightarrow_{\text{put}} f^n(t_1, \dots, t_n)$.

We have a decrease in the third component.

Case 3. $f(t_1, \dots, t_n) \rightarrow_{\text{select}} t_j$.

By assumption t_j is SN.

Termination of $\mathcal{L}ex^\omega$ à la Buchholz

Prove the implication

$$t_1, \dots, t_n \text{ are terminating} \implies f^\ell(t_1, \dots, t_n) \text{ is terminating}$$

by induction on triple $\langle f, \vec{t}, \ell \rangle$ in ordering $\langle \succ, (\rightarrow_{\mathcal{L}ex^\omega})^n, \succ \rangle$.

Case 4. $f^{n+1}(\vec{t}) \rightarrow_{\text{copy}} g(f^n(\vec{t}), \dots, f^n(\vec{t}))$.

By IH the arguments $f^n(\vec{t})$ of g are SN since $n + 1 > n$.

Again by IH the term $g(\dots)$ itself is SN, since $f \succ g$.

Case 5. $f^{n+1}(\vec{t}, g(\vec{s}), \vec{r}) \rightarrow_{\text{lex}} f(\vec{t}, g^n(\vec{s}), l, \dots, l)$, $l = f^n(\vec{t}, g(\vec{y}), \vec{r})$.

By assumption the arguments \vec{t} and $g(\vec{s})$ are SN.

By IH we get l is SN.

Since $g(\vec{s}) \rightarrow_{\text{put}} g^n(\vec{s})$ we get

- $g^n(\vec{s})$ is SN, and
- the triple decreases in the second component.

Thus by IH $f(\vec{t}, g^n(\vec{s}), l, \dots, l)$ is SN.

Termination of $\mathcal{L}ex$ and $\mathcal{L}ex^\omega$

Hence we have proven:

Theorem

$\rightarrow_{\mathcal{L}ex^\omega}$ is terminating

Corollary

$\rightarrow_{\mathcal{L}ex}^+$ is terminating on $T(\Sigma \uplus V)$

Proof. $\rightarrow_{\mathcal{L}ex}^+$ and $\rightarrow_{\mathcal{L}ex^\omega}^+$ coincide on $T(\Sigma \uplus V)$

Example, Ackermann Function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

$$\text{Ack}(s(x), s(y)) \rightarrow \text{Ack}(x, \text{Ack}(s(x), y))$$

Find an order \succ on Σ which proves termination.

$$\text{Ack} \succ s$$

We get the following derivations:

$$\text{Ack}(0, y) \rightarrow_{\text{put}} \text{Ack}^*(0, y) \rightarrow_{\text{copy}} s(\text{Ack}^*(0, y)) \rightarrow_{\text{select}} s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow_{\text{put}} \text{Ack}^*(s(x), 0) \rightarrow_{\text{lex}} \text{Ack}(s^*(x), \text{Ack}^*(s(x), 0))$$

$$\rightarrow_{\text{select}} \text{Ack}(x, \text{Ack}^*(s(x), 0)) \rightarrow_{\text{copy}} \text{Ack}(x, s(\text{Ack}^*(s(x), 0)))$$

$$\rightarrow_{\text{select}} \text{Ack}(x, s(0))$$

Example, Ackermann Function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

$$\text{Ack}(s(x), s(y)) \rightarrow \text{Ack}(x, \text{Ack}(s(x), y))$$

Find an order \succ on Σ which proves termination.

$$\text{Ack} \succ s$$

We get the following derivations:

$$\begin{aligned} \text{Ack}(s(x), s(y)) &\rightarrow_{\text{put}} \text{Ack}^*(s(x), s(y)) \\ &\rightarrow_{\text{lex}} \text{Ack}(s^*(x), \text{Ack}^*(s(x), s(y))) \\ &\rightarrow_{\text{select}} \text{Ack}(x, \text{Ack}^*(s(x), s(y))) \\ &\rightarrow_{\text{lex}} \text{Ack}(x, \text{Ack}(s(x), s^*(y))) \\ &\rightarrow_{\text{select}} \text{Ack}(x, \text{Ack}(s(x), y)) \end{aligned}$$

Hence we have proven termination.

Recursive definition of LPO

Let \succ be a strict order on signature Σ

Define \succ_{lpo} on $T(\Sigma, V)$ by: $s \succ_{lpo} t$ iff

(LPO1) $t \in \text{Var}(s)$ and $s \neq t$, or

(LPO2) $s = f(s_1, \dots, s_m)$, $t = g(t_1, \dots, t_n)$, and

(LPO2a) $\exists 1 \leq i \leq m$, with $s_i = t$ or $s_i \succ_{lpo} t$, or

(LPO2b) $f \succ g$ and $s \succ_{lpo} t_j$ for all $1 \leq j \leq n$, or

(LPO2c) $f = g$, and

$s \succ_{lpo} t_j$ for all $1 \leq j \leq n$, and

there exists $1 \leq i \leq m$, s.t.

$s_1 = t_1, \dots, s_{i-1} = t_{i-1}$ and $s_i \succ_{lpo} t_i$.

Theorem

\succ_{ilpo} is equivalent with \succ_{lpo}