

- Lecture 1: Introduction, Abstract Rewriting
- Lecture 2: Term Rewriting
- Lecture 3: Combinatory Logic
- Lecture 4: Termination
- Lecture 5: Matching, Unification
- Lecture 6: Equational Reasoning, Completion
- Lecture 7: Confluence
- Lecture 8: Modularity
- Lecture 9: Strategies
- Lecture 10: Decidability
- Lecture 11: Infinitary Rewriting

Outline

- Overview
- Introduction
- Well-Founded Monotone Algebras
- Monotone algebras
- Polynomial Interpretations
- Dependency Pairs
- Stepwise Termination Proofs
- Dependency Graphs

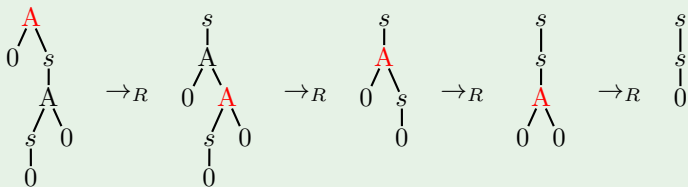
Termination

Termination, Example 1

Example

$$A(x, s(y)) \rightarrow s(A(x, y))$$

$$A(x, 0) \rightarrow x$$

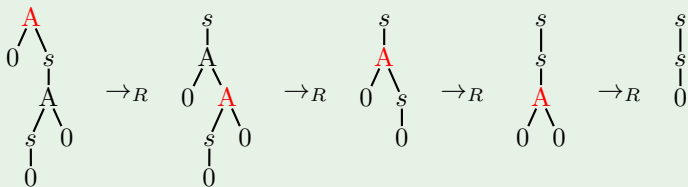


Termination, Example 1

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Looks terminating:

- second rule makes terms smaller
- first rule makes 's' move upwards

Termination, Example 2

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$$f(g(x)) \rightarrow g(f(x))$$

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- f's move to the right
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But we have an infinite rewrite sequence:

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We need proofs of termination!

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Definition (Termination $SN(R)$)

A rewrite system R is **terminating** if there are no infinite rewrite sequences.

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Termination Methods 1967

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Knuth-Bendix order, polynomial interpretations, multiset order, simple path order, lexicographic path order, semantic path order, recursive decomposition order, multiset path order, recursive path order, transformation order, elementary interpretations, type introduction, well-founded monotone algebras, general path order, semantic labeling, dummy elimination, dependency pairs, freezing, top-down labeling

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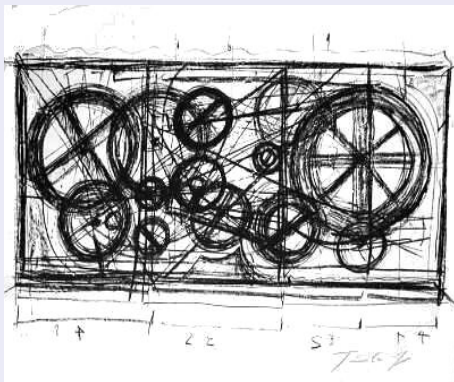
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Termination Research



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Termination Tools

AProVE, Cariboo, CiME, Jambox, Termptation, Matchbox, MuTerm, NTI, Torpa, TPA, T_1T_2 , VMTL, ...

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- Subterm Criterion
- Iterative Lexicographic Path Order

Lemma

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$$s > t \iff \varphi(s) >_{\mathbb{N}} \varphi(t) \text{ with } \varphi(u) = \begin{cases} 1 & \text{if } u = 0 \\ \varphi(v) + 1 & \text{if } u = s(v) \\ 2\varphi(v) + \varphi(w) & \text{if } u = v + w \\ 0 & \text{otherwise} \end{cases}$$

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Remark

(very) inconvenient to check all rewrite *steps*

Definitions

A **reduction order** is well-founded order $>$ on terms which is

- closed under contexts $s > t \implies C[s] > C[t]$
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However, this contradicts well-foundedness of $>$. ■

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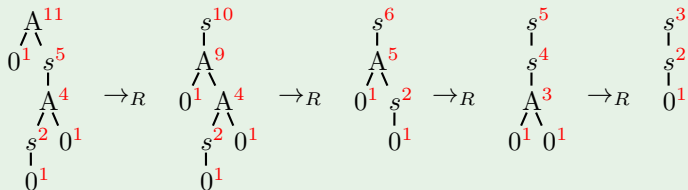
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Example (We use the Σ -algebra $(\mathbb{N}, [\cdot])$ with)

$$[0] = 1$$

$$[s](x) = x + 1$$

$$[A](x, y) = x + 2 \cdot y$$



Interpretation of terms

Definition (Interpretation of Terms)

Let $\alpha : \mathcal{X} \rightarrow A$ be an interpretation of the variables.
We define the evaluation of terms

$$[\cdot, \alpha] : \mathcal{T}(\Sigma, \mathcal{X}) \rightarrow A$$

inductively:

$$[x, \alpha] = \alpha(x) \quad \text{if } x \in \mathcal{X}$$

$$[f(t_1, \dots, t_n), \alpha] = [f]([t_1, \alpha], \dots, [t_n, \alpha])$$

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Monotone Σ -algebras

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A **well-founded monotone Σ -algebra** $(A, [\cdot], >)$:

- a Σ -algebra $(A, [\cdot])$,
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Relation $>_{\mathcal{A}}$ on terms: $s >_{\mathcal{A}} t$ if $[s, \alpha] > [t, \alpha]$ for all assignments α .

Lemma

$>_{\mathcal{A}}$ is a reduction order for every well-founded monotone Σ -algebra $(A, [\cdot], >)$

Lemma

Let \mathcal{A} well-founded monotone Σ -algebra. Then $>_{\mathcal{A}}$ is closed under substitutions.

Let σ be a substitution. We show $t\sigma >_{\mathcal{A}} s\sigma$.

That is: $[t\sigma, \alpha] > [s\sigma, \alpha]$ for every $\alpha : \mathcal{X} \rightarrow A$.

Define $\beta : \mathcal{X} \rightarrow A$ by $\beta(x) = [\sigma(x), \alpha]$. Claim: $[u\sigma, \alpha] = [u, \beta]$ for all u .

- Proof of the claim by induction over the term structure of u :

$$\begin{aligned} [x\sigma, \alpha] &= [\sigma(x), \alpha] = [x, \beta] \\ [f(t_1, \dots, t_n)\sigma, \alpha] &= [f]([t_1\sigma, \alpha], \dots, [t_n\sigma, \alpha]) \\ &\stackrel{\text{IH}}{=} [f]([t_1, \beta], \dots, [t_n, \beta]) \\ &= [f(t_1, \dots, t_n), \beta] \end{aligned}$$

Hence $[t\sigma, \alpha] = [t, \beta] > [s, \beta] = [s\sigma, \alpha]$. ■

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Let \mathcal{A} well-founded monotone Σ -algebra. Then $>_{\mathcal{A}}$ is closed under substitutions.

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Hence $[t\sigma, \alpha] = [t, \beta] > [s, \beta] = [s\sigma, \alpha]$. ■

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This infinite decreasing $>$ chain contradicts well-foundedness of $>$. ■

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- $>_{\mathcal{A}}$ is closed under substitutions

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We have shown that:

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Hence we have proven that:

Lemma

$>_{\mathcal{A}}$ is a reduction order for every well-founded monotone Σ -algebra $(A, [\cdot], >)$

Theorem

TRS R is terminating $\iff R \subseteq \succ_{\mathcal{A}}$ for well-founded monotone algebra (\mathcal{A}, \succ)

\Leftarrow Follows since we have shown that $\succ_{\mathcal{A}}$ is a reduction order.

\Rightarrow As Σ -algebra we take $(A, [\cdot], \succ)$ with

- $A = \mathcal{T}(\Sigma, \mathcal{X})$,
- $[f](t_1, \dots, t_n) = f(t_1, \dots, t_n)$,
- $\succ := \rightarrow$.

Then \succ is well-founded since R is terminating.

Monotonicity of \succ follows from closure of \rightarrow under contexts:

$$s > t \Rightarrow [f](\dots, s, \dots) = f(\dots, s, \dots) > f(\dots, t, \dots) = [f](\dots, t, \dots)$$

$R \subseteq \succ_{\mathcal{A}}$ since for all $\ell \rightarrow r \in R$ and $\alpha : \mathcal{X} \rightarrow A$:

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- $A = \mathcal{T}(\Sigma, \mathcal{X})$,
- $[f](t_1, \dots, t_n) = f(t_1, \dots, t_n)$,
- $> := \rightarrow$.

Then $>$ is well-founded since R is terminating.

Monotonicity of $>$ follows from closure of \rightarrow under contexts:

$$s > t \Rightarrow [f](\dots, s, \dots) = f(\dots, s, \dots) > f(\dots, t, \dots) = [f](\dots, t, \dots)$$

Theorem

TRS R is terminating $\iff R \subseteq >_{\mathcal{A}}$ for well-founded monotone algebra $(\mathcal{A}, >)$

Proof.

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$R \subseteq >_{\mathcal{A}}$ since for all $\ell \rightarrow r \in R$ and $\alpha : \mathcal{X} \rightarrow A$:

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Well-Founded Monotone Algebras

used in termination proofs/tools:

- polynomial interpretations over \mathbb{N}

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Outline

- Overview
- Introduction
- Well-Founded Monotone Algebras
- Monotone algebras
- **Polynomial Interpretations**
- Dependency Pairs
- Stepwise Termination Proofs
- Dependency Graphs
- Subterm Criterion
- Iterative Lexicographic Path Order

Polynomial interpretations

Definition

A **polynomial interpretation** over \mathbb{N} consists of:

- Σ -algebra is $(\mathbb{N}, [\cdot])$,
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- the interpretations $[f]$ are polynomials.

- monotonicity of the polynomials $[f]$, and
- $R \subseteq >$, that is, $[\ell, \alpha] > [r, \alpha]$ for all $\ell \rightarrow r \in R$ and $\alpha : \mathcal{X} \rightarrow A$

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Example

$$f(g(x)) \rightarrow f(f(x))$$

Find a polynomial interpretation over \mathbb{N} which proves termination:

$$[f](x) = ???$$

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Example 1

Example

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Find a polynomial interpretation over \mathbb{N} which proves termination:

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Why do we need the conditions?

Example ($>$ not well-founded)

Let $R = \{ f(x) \rightarrow f(f(x)) \}$ with the Σ -algebra $(\mathbb{Z}, [\cdot])$ and

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Modern Approach

- (a) choose **abstract** polynomial interpretations (linear, quadratic, ...)

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- (a) choose abstract polynomial interpretations (linear, quadratic, ...)
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- (d) eliminate universally quantified variables

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Modern Approach

- (a) choose abstract polynomial interpretations (linear, quadratic, ...)
- (b) transform rewrite rules into polynomial ordering constraints
- (c) add monotonicity and well-definedness constraints
- (d) eliminate universally quantified variables
- (e) translate resulting constraints to SAT or SMT problem

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- rewrite system

$$\begin{aligned}0 + y &\rightarrow y \\ s(x) + y &\rightarrow s(x + y)\end{aligned}$$

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$$\begin{aligned}(e - 1)y + da + f &> 0 \\(e - be)y + dc + f - bf - c &> 0 \\a \geq 0 \quad b \geq 1 \quad c \geq 0 \quad d \geq 1 \quad e \geq 1 \quad f \geq 0\end{aligned}$$

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• TRS

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$$s(x) + y \rightarrow s(x + y)$$

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- constraints $\forall x, y \in \mathbb{N}$

$$y + 2 > y$$

$$2x + y + 2 > 2x + y + 1$$

$$3y + 2 > 1$$

$$2xy + x + 3y + 2 > 2xy + x + 3y + 1$$

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$$+_{\mathcal{A}}(x, y) = 2x + y$$

$$\times_{\mathcal{A}}(x, y) = 2xy + x + y + 1$$

- constraints $\forall x, y \in \mathbb{N}$

$$2 > 0$$

$$3y + 1 > 0$$

$$1 > 0$$

$$1 > 0$$

Example

- TRS

$$\begin{aligned} 0 + y &\rightarrow y \\ s(x) + y &\rightarrow s(x + y) \end{aligned}$$

$$\begin{aligned} 0 \times y &\rightarrow 0 \\ s(x) \times y &\rightarrow y + (x \times y) \end{aligned}$$

- interpretations in \mathbb{N}

$$\begin{aligned} 0_{\mathcal{A}} &= 1 & +_{\mathcal{A}}(x, y) &= 2x + y \\ s_{\mathcal{A}}(x) &= x + 1 & \times_{\mathcal{A}}(x, y) &= 2xy + x + y + 1 \end{aligned}$$

- constraints $\forall x, y \in \mathbb{N}$

$$\begin{aligned} 2 &> 0 & 3y + 1 &> 0 \\ 1 &> 0 & 1 &> 0 \end{aligned}$$

- $s(0) \times s(s(0)) \rightarrow s(s(0)) + (0 \times s(s(0))) \rightarrow s(s(0)) + 0 \rightarrow s(s(0) + 0) \rightarrow s(s(0 + 0)) \rightarrow s(s(0))$

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Example

• TRS

$$\partial(x + y) \rightarrow \partial(x) + \partial(y) \qquad \partial(\alpha) = 1$$

$$\partial(x - y) \rightarrow \partial(x) - \partial(y) \qquad \partial(\beta) = 0$$

$$\partial(x \times y) \rightarrow (\partial(x) \times y) + (x \times \partial(y))$$

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$$2xy + 5x + 3y + 6 > 0$$

Remark

Numerous terminating TRSs are not polynomially terminating.

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polynomial interpretations

terminating TRSs

A non-simply terminating TRS: $f(f(x)) \rightarrow f(g(f(x)))$

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There exists no monotone Σ -algebra \mathcal{A} with $A = \mathbb{N}$ proving SN(S).

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But then \mathcal{A} would also prove termination of $f(x) \rightarrow g(f(x))$.

Thus we need another Σ -algebra, for example $A = \mathbb{N}^2$.

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Example ($S = \{f(f(x)) \rightarrow f(g(f(x)))\}$)

We choose the Σ -algebra $(\mathbb{N}^2, [\cdot])$ with:

$$[f](\vec{x}) = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \cdot \vec{x} + \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

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Hence S is terminating.

Termination via Dependency Pairs

Minimal Terms

We call a term is **minimal** if all its strict subterms are terminating.

We prove: every non-terminating term t contains a minimal subterm.

We use induction on the term structure of t :

- Base case: all strict subterms of t are terminating. Then t is minimal itself.
- Induction step: t has a strict subterm t' which is not terminating.

Then by IH the term t' contains a minimal, non-terminating subterm t'' .
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Let R be a non-terminating TRS.

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Steps below the root do not change minimality:

Then since t is minimal, it follows that all t_k are terminating.

Since $t_k \rightarrow^* s_k$, we obtain s_k is terminating for all $1 \leq k \leq n$.

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Notation: we use $\xrightarrow{\text{top}}_R$ to denote root rewrite steps.

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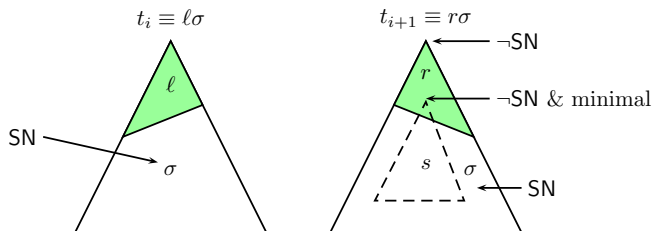
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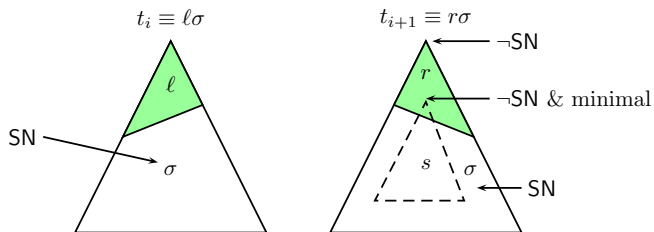
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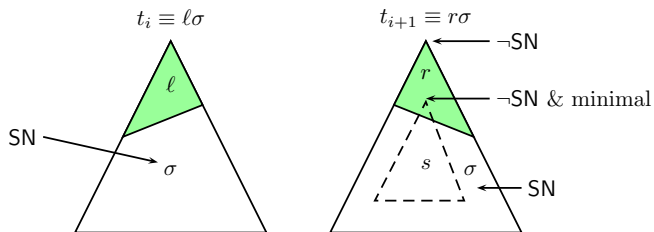


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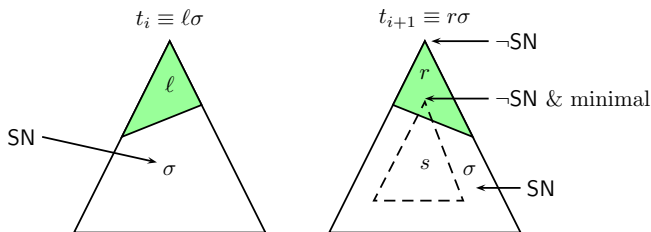


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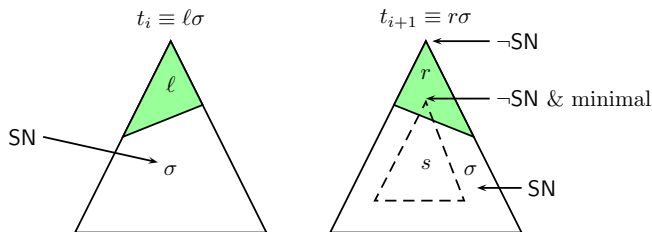
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Hence there exists a non-variable subterm r' of r such that $s = r'\sigma$.

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Idea: add a rule $\ell \rightarrow r'$ then $t_i \rightarrow s$.

Dependency Pairs

For every $f \in \Sigma$ let $f_{\#}$ be a fresh symbol with the same arity as f .

$$DP(R) = \{ f_{\#}(x) \rightarrow g_{\#}(f(x)), \\ f_{\#}(x) \rightarrow f_{\#}(x) \}$$

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Definition (Dependency Pairs)

$$\text{DP}(R) = \{ \ell_{\#} \rightarrow r'_{\#} \mid \ell \rightarrow r \in R, r' \trianglelefteq r \text{ with } r' \notin \mathcal{X} \}$$

$$\text{DP}(R) = \{ f_{\#}(x) \rightarrow g_{\#}(f(x)), \\ f_{\#}(x) \rightarrow f_{\#}(x) \}$$

Dependency Pairs

For every $f \in \Sigma$ let $f_{\#}$ be a fresh symbol with the same arity as f .
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$$R = \{ f(x) \rightarrow g(f(x)) \}$$

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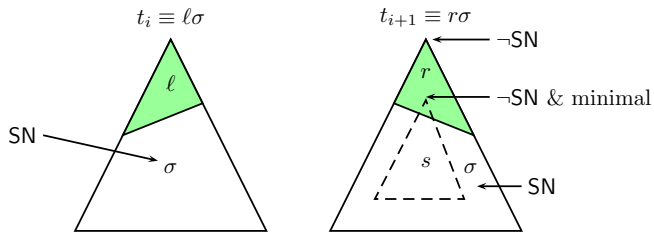
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Dependency Pairs

Let t_0 be a minimal and $t_0 \rightarrow_R t_1 \rightarrow_R t_2 \rightarrow_R \dots$ an infinite rewrite sequence.

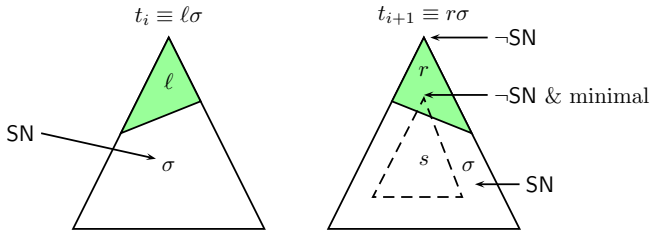
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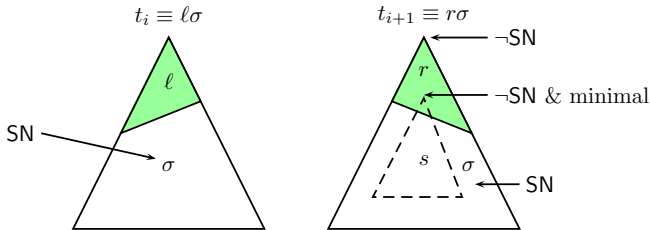
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Repeating the construction with s yields:

$$t_{0,\#} \rightarrow_R^* \cdot \xrightarrow{\text{top}}_{\text{DP}(R)} \cdot \rightarrow_R^* \cdot \xrightarrow{\text{top}}_{\text{DP}(R)} \cdot \rightarrow_R^* \cdot \xrightarrow{\text{top}}_{\text{DP}(R)} \dots$$

an infinite rewrite sequence containing infinitely many $\text{DP}(R)$ steps.

Dependency Pairs

Lemma

Let R be a non-terminating TRS. Then there exists a rewrite sequence:

$$t_{0,\#} \rightarrow_R^* \cdot \xrightarrow{\text{top}}_{\text{DP}(R)} \cdot \rightarrow_R^* \cdot \xrightarrow{\text{top}}_{\text{DP}(R)} \cdot \rightarrow_R^* \cdot \xrightarrow{\text{top}}_{\text{DP}(R)} \dots$$

such that:

- the sequence contains infinitely many $\text{DP}(R)$ steps,
- all R steps are below the root, and
- all $\text{DP}(R)$ steps are at the root.

Dependency Pairs, Examples

Example

$$R = \{ f(g(x)) \rightarrow g(g(f(f(x)))) \}$$

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$$f_{\#}(g(g(x))) \xrightarrow{top}_{DP(R)} f_{\#}(f(g(x))) \rightarrow_R f_{\#}(g(g(f(f(x)))))) \rightarrow \dots$$

Dependency Pairs, Examples

Example

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Dependency Pairs, Examples

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Dependency Pairs, Main Theorem

Definition (Relative Termination)

A relation \rightarrow_1 is called **terminating relative to** \rightarrow_2 , denoted $SN(\rightarrow_1 / \rightarrow_2)$, if every $\rightarrow_1 \cup \rightarrow_2$ rewrite sequence contains only finitely many \rightarrow_1 steps.

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The main theorem from dependency pairs is:

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The main theorem from dependency pairs is:

Theorem

$$\text{SN}(R) \iff \text{SN}(\text{DP}(R)_{\text{top}}/R)$$

That is, a TRS R is terminating if and only if $\xrightarrow{\text{top}}_{\text{DP}(R)}$ terminates relative to \rightarrow_R .

Dependency Pairs, Termination Proofs

Definition

A well-founded weakly monotone Σ -algebra $(A, [\cdot], >, \succeq)$ consists of:

- a Σ -algebra $(A, [\cdot])$ with relations $>, \succeq$ on A
- $>$ is well-founded,
- $> \cdot \succeq \subseteq >$ (compatibility),
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$\text{SN}(\text{DP}(R)_{\text{top}}/R)$ if there exists a weakly monotone Σ -algebra s.t.

- $\text{DP}(R) \subseteq >$ *that is, $[l, \alpha] > [r, \alpha] \quad \forall \alpha, l \rightarrow r \in \text{DP}(R)$*
- $R \subseteq \succeq$ *that is, $[l, \alpha] \succeq [r, \alpha] \quad \forall \alpha, l \rightarrow r \in R$*

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Advantages: **no monotonicity for $>$** , and **\succeq not well-founded**.

Frequently used are **polynomial interpretations** over \mathbb{N} :

- $>$ as usual on \mathbb{N} and $\succeq := \geq$
- the interpretations $[f]$ are polynomials

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- $>$ as usual on \mathbb{N} and $\succeq := \geq$
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We will see some examples. . .

Example: $f(f(x)) \rightarrow f(g(f(x)))$

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Example

$$\begin{aligned} DP(R) = \{ & f_{\#}(f(x)) \rightarrow f_{\#}(g(f(x))), \\ & f_{\#}(f(x)) \rightarrow g_{\#}(f(x)), \\ & f_{\#}(f(x)) \rightarrow f_{\#}(x) \} \end{aligned}$$

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- Are the functions $[f]$ monotone w.r.t. \geq ?

Yes, since whenever $a \geq b$, then

$$[f](a) = a \geq b = [f](b),$$

$$[g](a) = 0 \geq 0 = [g](b),$$

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Hence we have proven termination.

Stepwise Termination Proofs

Stepwise termination proofs with monotone Σ -algebras:

Theorem

If there exists a monotone Σ -algebra $(A, [\cdot], >)$ s.t.

- $R \subseteq \geq$, and
- $R' \subseteq >$, and

where $\geq := > \cup =$. Then

$$\text{SN}(R) \implies \text{SN}(R \cup R')$$

This theorem allows us to stepwise remove rules until none are left.

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Remark

Instead of \geq we can more generally use a monotone relation \succeq with $> \cdot \succeq \subseteq >$

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We have already shown this a few slides ago.

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Stepwise termination proofs with dependency pairs:

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If there exists a weakly monotone Σ -algebra s.t.

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$$\text{SN}(T_{2,\text{top}}/R) \implies \text{SN}((T_1 \cup T_2)_{\text{top}}/R)$$

That is, we may remove the strictly decreasing **top-rules**.

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We are **not** allowed to remove strictly decreasing rules in R !
(for removing from R we need monotonic interpretations)

Example

$$\text{minus}(x, 0) \rightarrow x$$

$$\text{minus}(s(x), s(y)) \rightarrow \text{minus}(x, y)$$

$$\text{quot}(0, s(y)) \rightarrow 0$$

$$\text{quot}(s(x), s(y)) \rightarrow s(\text{quot}(\text{minus}(x, y), s(y)))$$

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$$\text{DP}(R) = \{ \text{minus}_{\#}(s(x), s(y)) \rightarrow \text{minus}_{\#}(x, y)$$

$$\text{quot}_{\#}(0, s(y)) \rightarrow 0_{\#}$$

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We use the interpretation:

$$[\text{minus}_{\#}](x, y) = 1 \quad [\text{quot}_{\#}](x, y) = 1 \quad [\text{minus}](x, y) = x \quad [s](x) = x$$

$$[f](\vec{x}) = 0 \text{ for all other symbols } f$$

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Dependency Graphs

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Dependency graph: analysis which DP-rules may follow each other

$$(1) \text{minus}_{\#}(s(x), s(y)) \rightarrow \text{minus}_{\#}(x, y) \curvearrowright$$



$$(2) \text{quot}_{\#}(s(x), s(y)) \rightarrow \text{minus}_{\#}(x, y)$$



$$(3) \text{quot}_{\#}(s(x), s(y)) \rightarrow \text{quot}_{\#}(\text{minus}(x, y), s(y)) \curvearrowright$$

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Idea: consider only strongly connected components $\text{SN}(\{1\}_{top}/R)$, $\text{SN}(\{3\}_{top}/R)$.

Subterm Criterion

Theorem (Subterm Criterion)

Let R be a TRS, $T_1, T_2 \subseteq DP(R)$, and $\pi : \Sigma_{\#} \rightarrow \mathbb{N}$ such that:

- $s_{\pi(f_{\#})} \triangleright t_{\pi(g_{\#})}$ for every rule $f_{\#}(s_1, \dots, s_n) \rightarrow g_{\#}(t_1, \dots, t_m) \in T_1$
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Then:

$$SN(T_{2,top}/R) \implies SN((T_1 \cup T_2)_{top}/R)$$

We can only finitely often make a terminating term smaller (\triangleright). ■

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Proof.

After the dependency pairs transformation, we consider only **minimal terms**.

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We can remove the first two DP-rules.

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$$\pi(\text{Ack}_{\#}) = 2$$

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Hence we have proven termination.

Iterative Lexicographic Path Order (ILPO)

ILPO... Historical overview

Kamin and Lévy [1980] (lexicographic path order, LPO):

- Kruskal's Tree Theorem was used in the original proofs
- Buchholz [1995] simplified the proof: Kruskal not needed

Bergsta and Klop [1985]:

- Iterative version of RPO: 'star method'
- Operational definition of reduction order via an auxiliary term rewriting system (with stars)

Klop, van Oostrom and de Vrijer [2005]:

- Extension of the star method to LPO
- Iterative lexicographic path order (ILPO)

ILPO... The star TRS $\mathcal{L}ex_{\succ}$

Given a terminating relation \succ on signature Σ , define TRS $\mathcal{L}ex_{\succ}$

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$$f^*(\vec{x}, g(\vec{y}), \vec{z}) \rightarrow_{\text{lex}} f(\vec{x}, g^*(\vec{y}), l, \dots, l) \quad \text{where } l = f^*(\vec{x}, g(\vec{y}), \vec{z})$$

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Definition (ILPO)

\succ_{ilpo} is the restriction of $\rightarrow_{\mathcal{L}ex_{\succ}}^+$ to terms over Σ , i.e.

$$t \succ_{ilpo} s \iff t \rightarrow_{\mathcal{L}ex_{\succ}}^+ s \wedge t, s \in T(\Sigma \uplus V)$$

ILPO

Claim

\succ_{ilpo} is a reduction order, that is:

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Corollary

A TRS \mathcal{R} is terminating if $\mathcal{R} \subseteq \succ_{ilpo}$.

Proof. \succ_{ilpo} is a reduction order with $\mathcal{R} \subseteq \succ_{ilpo}$.

Example, Addition and multiplication

$$\begin{aligned}A(x, 0) &\rightarrow x \\A(x, S(y)) &\rightarrow S(A(x, y)) \\M(x, 0) &\rightarrow 0 \\M(x, S(y)) &\rightarrow A(x, M(x, y))\end{aligned}$$

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Use relation R given by $M \succ A$ and $A \succ S$.

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 M(x, S(y)) &\rightarrow A(x, M(x, y))
 \end{aligned}$$

Use relation R given by $M \succ A$ and $A \succ S$.

For each reduction rule a corresponding Lex-reductions:

$$\begin{aligned}
 A(x, 0) &\rightarrow_{\text{put}} A^*(x, 0) \rightarrow_{\text{select}} x \\
 A(x, S(y)) &\rightarrow_{\text{put}} A^*(x, S(y))
 \end{aligned}$$

Example, Addition and multiplication

$$\begin{array}{l}
 A(x, 0) \rightarrow x \\
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Termination for \succ_{ilpo} via termination of $\mathcal{L}ex^\omega$

But $\mathcal{L}ex$ is in general not terminating, e.g. if $A > S$, then

$$\begin{aligned}
 A(x, y) &\rightarrow_{\text{put}} A^*(x, y) \\
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Starred symbol A^* is 'used' infinitely often.

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Idea:

- use **numbers instead of stars**,
- the numbers fix how often a symbol can be used.

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This is essential in **any** infinite reduction!

Idea:

- use **numbers instead of stars**,
- the numbers fix how often a symbol can be used.

\Rightarrow Yields a terminating TRS $\mathcal{L}ex^\omega_\succ$.

Auxiliary TRS with numerical control symbols

Given a terminating relation \succ on signature Σ , define TRS $\mathcal{Lex}_\succ^\omega$

- Signature: $\Sigma \uplus \Sigma^\omega$, where $\Sigma^\omega = \{f^n \mid f \in \Sigma, n \in \mathbb{N}\}$

f^n is fresh and has same arity as f

- Reduction rules:

$$f(\vec{x}) \rightarrow_{\text{put}} f^n(\vec{x})$$

$$f^n(\vec{x}) \rightarrow_{\text{select}} x_i$$

$$f^{n+1}(\vec{x}) \rightarrow_{\text{copy}} g(f^n(\vec{x}), \dots, f^n(\vec{x})) \quad \text{if } f \succ g$$

$$f^{n+1}(\vec{x}, g(\vec{y}), \vec{z}) \rightarrow_{\text{lex}} f(\vec{x}, g^n(\vec{y}), l, \dots, l) \quad \text{where } l = f^n(\vec{x}, g(\vec{y}), \vec{z})$$

Back and forth between $\mathcal{L}ex$ and $\mathcal{L}ex^\omega$

From $\rightarrow_{\mathcal{L}ex^\omega}$ to $\rightarrow_{\mathcal{L}ex}$:

- every reduction can be transformed by replacing f^n by f^* .

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- every finite reduction can be lifted, in particular
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From $\rightarrow_{\mathcal{L}ex}$ to $\rightarrow_{\mathcal{L}ex^\omega}$:

- every finite reduction can be lifted, in particular
- every reduction between two starless terms can be lifted.

For example:

$$\begin{aligned} M(x, S(y)) &\rightarrow_{\text{put}} M^*(x, S(y)) \rightarrow_{\text{copy}} A(M^*(x, S(y)), M^*(x, S(y))) \\ &\rightarrow_{\text{select}} A(x, M^*(x, S(y))) \rightarrow_{\text{lex}} A(x, M(x, S^*(y))) \\ &\rightarrow_{\text{select}} A(x, M(x, y)) \end{aligned}$$

becomes:

$$\begin{aligned} M(x, S(y)) &\rightarrow_{\text{put}} M^2(x, S(y)) \rightarrow_{\text{copy}} A(M^1(x, S(y)), M^1(x, S(y))) \\ &\rightarrow_{\text{select}} A(x, M^1(x, S(y))) \rightarrow_{\text{lex}} A(x, M(x, S^0(y))) \\ &\rightarrow_{\text{select}} A(x, M(x, y)) \end{aligned}$$

$\mathcal{L}ex$ and $\mathcal{L}ex^\omega$

Theorem

$\rightarrow_{\mathcal{L}ex_\gamma}^+$ and $\rightarrow_{\mathcal{L}ex_\gamma^\omega}^+$ coincide on $T(\Sigma \uplus V)$

$\mathcal{L}ex$ and $\mathcal{L}ex^\omega$

Theorem

$\rightarrow_{\mathcal{L}ex_\succ}^+$ and $\rightarrow_{\mathcal{L}ex_\succ^\omega}^+$ coincide on $T(\Sigma \uplus V)$

Note that the infinite reduction

$$\begin{aligned} A(x, y) &\rightarrow_{\text{put}} A^*(x, y) \\ &\rightarrow_{\text{copy}} S(A^*(x, y)) \\ &\rightarrow_{\text{copy}} S(S(A^*(x, y))) \\ &\dots \end{aligned}$$

cannot be lifted:

$$\begin{aligned} A(x, y) &\rightarrow_{\text{put}} A^?(x, y) \\ &\rightarrow_{\text{copy}} S(A^{?-1}(x, y)) \\ &\rightarrow_{\text{copy}} S(S(A^{?-2}(x, y))) \\ &\dots \end{aligned}$$

Termination of $\mathcal{L}ex^\omega$ à la Buchholz

Prove the implication

$$t_1, \dots, t_n \text{ are terminating} \implies f^\ell(t_1, \dots, t_n) \text{ is terminating}$$

by induction on triple $\langle f, \vec{t}, \ell \rangle$ in ordering $\langle \succ, (\rightarrow_{\mathcal{L}ex^\omega})^n, \succ \rangle$.

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- No label ℓ counts as ∞ with $\infty > n$.

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In general a term is SN if all one-step reducts are SN.

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- No label ℓ counts as ∞ with $\infty > n$.

In general a term is SN if all one-step reducts are SN.

\Rightarrow We check all one step reducts of $f^\ell(t_1, \dots, t_n)$.

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Case 1. Internal step $f^\ell(\dots, t_i, \dots) \rightarrow f^\ell(\dots, t'_i, \dots)$.

The triple decreases in the second component.

Termination of $\mathcal{L}ex^\omega$ à la Buchholz

Prove the implication

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Case 1. Internal step $f^\ell(\dots, t_i, \dots) \rightarrow f^\ell(\dots, t'_i, \dots)$.

The triple decreases in the second component.

Case 2. $f(t_1, \dots, t_n) \rightarrow_{\text{put}} f^n(t_1, \dots, t_n)$.

We have a decrease in the third component.

Termination of $\mathcal{L}ex^\omega$ à la Buchholz

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$$t_1, \dots, t_n \text{ are terminating} \implies f^\ell(t_1, \dots, t_n) \text{ is terminating}$$

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We have a decrease in the third component.

Case 3. $f(t_1, \dots, t_n) \rightarrow_{\text{select}} t_j$.

By assumption t_j is SN.

Termination of $\mathcal{L}ex^\omega$ à la Buchholz

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Case 4. $f^{n+1}(\vec{t}) \rightarrow_{\text{copy}} g(f^n(\vec{t}), \dots, f^n(\vec{t}))$.

By IH the arguments $f^n(\vec{t})$ of g are SN since $n+1 > n$.

Again by IH the term $g(\dots)$ itself is SN, since $f \succ g$.

Termination of $\mathcal{L}ex^\omega$ à la Buchholz

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By IH the arguments $f^n(\vec{t})$ of g are SN since $n + 1 > n$.

Again by IH the term $g(\dots)$ itself is SN, since $f \succ g$.

Case 5. $f^{n+1}(\vec{t}, g(\vec{s}), \vec{r}) \rightarrow_{\text{lex}} f(\vec{t}, g^n(\vec{s}), l, \dots, l)$, $l = f^n(\vec{t}, g(\vec{y}), \vec{r})$.

By assumption the arguments \vec{t} and $g(\vec{s})$ are SN.

By IH we get l is SN.

Since $g(\vec{s}) \rightarrow_{\text{put}} g^n(\vec{s})$ we get

- $g^n(\vec{s})$ is SN, and
- the triple decreases in the second component.

Thus by IH $f(\vec{t}, g^n(\vec{s}), l, \dots, l)$ is SN.

Termination of $\mathcal{L}ex$ and $\mathcal{L}ex^\omega$

Hence we have proven:

Theorem

$\rightarrow_{\mathcal{L}ex^\omega_\gamma}$ is terminating

Termination of $\mathcal{L}ex$ and $\mathcal{L}ex^\omega$

Hence we have proven:

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Corollary

$\rightarrow_{\mathcal{L}ex}^+$ is terminating on $T(\Sigma \uplus V)$

Proof. $\rightarrow_{\mathcal{L}ex}^+$ and $\rightarrow_{\mathcal{L}ex^\omega}^+$ coincide on $T(\Sigma \uplus V)$

Example, Ackermann Function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

$$\text{Ack}(s(x), s(y)) \rightarrow \text{Ack}(x, \text{Ack}(s(x), y))$$

Find an order \succ on Σ which proves termination.

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$$\text{Ack} \succ s$$

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$$\text{Ack}(s(x), s(y)) \rightarrow \text{Ack}(x, \text{Ack}(s(x), y))$$

Find an order \succ on Σ which proves termination.

$$\text{Ack} \succ s$$

We get the following derivations:

$$\text{Ack}(0, y)$$

Example, Ackermann Function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

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Example, Ackermann Function

Example

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We get the following derivations:

$$\text{Ack}(0, y) \rightarrow_{\text{put}} \text{Ack}^*(0, y) \rightarrow_{\text{copy}} s(\text{Ack}^*(0, y))$$

Example, Ackermann Function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

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$$\text{Ack} \succ s$$

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$$\text{Ack}(0, y) \xrightarrow{\text{put}} \text{Ack}^*(0, y) \xrightarrow{\text{copy}} s(\text{Ack}^*(0, y)) \xrightarrow{\text{select}} s(y)$$

Example, Ackermann Function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

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$$\begin{array}{l} \text{Ack}(0, y) \xrightarrow{\text{put}} \text{Ack}^*(0, y) \xrightarrow{\text{copy}} s(\text{Ack}^*(0, y)) \xrightarrow{\text{select}} s(y) \\ \text{Ack}(s(x), 0) \end{array}$$

Example, Ackermann Function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

$$\text{Ack}(s(x), 0) \rightarrow \text{Ack}(x, s(0))$$

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$$\text{Ack}(s(x), 0) \rightarrow_{\text{put}} \text{Ack}^*(s(x), 0)$$

Example, Ackermann Function

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$$\text{Ack}(s(x), 0) \rightarrow_{\text{put}} \text{Ack}^*(s(x), 0) \rightarrow_{\text{lex}} \text{Ack}(s^*(x), \text{Ack}^*(s(x), 0))$$

Example, Ackermann Function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

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$$\begin{aligned} \text{Ack}(s(x), 0) &\rightarrow_{\text{put}} \text{Ack}^*(s(x), 0) \rightarrow_{\text{lex}} \text{Ack}(s^*(x), \text{Ack}^*(s(x), 0)) \\ &\rightarrow_{\text{select}} \text{Ack}(x, \text{Ack}^*(s(x), 0)) \end{aligned}$$

Example, Ackermann Function

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$$\rightarrow_{\text{select}} \text{Ack}(x, \text{Ack}^*(s(x), 0)) \rightarrow_{\text{copy}} \text{Ack}(x, s(\text{Ack}^*(s(x), 0)))$$

$$\rightarrow_{\text{select}} \text{Ack}(x, s(0))$$

Example, Ackermann Function

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We get the following derivations:

$$\text{Ack}(s(x), s(y)) \rightarrow_{\text{put}} \text{Ack}^*(s(x), s(y))$$

Example, Ackermann Function

Example

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Find an order \succ on Σ which proves termination.

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$$\rightarrow_{\text{lex}} \text{Ack}(s^*(x), \text{Ack}^*(s(x), s(y)))$$

Example, Ackermann Function

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$$\text{Ack}(0, y) \rightarrow s(y)$$

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Example, Ackermann Function

Example

$$\text{Ack}(0, y) \rightarrow s(y)$$

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We get the following derivations:

$$\begin{aligned} \text{Ack}(s(x), s(y)) &\rightarrow_{\text{put}} \text{Ack}^*(s(x), s(y)) \\ &\rightarrow_{\text{lex}} \text{Ack}(s^*(x), \text{Ack}^*(s(x), s(y))) \\ &\rightarrow_{\text{select}} \text{Ack}(x, \text{Ack}^*(s(x), s(y))) \\ &\rightarrow_{\text{lex}} \text{Ack}(x, \text{Ack}(s(x), s^*(y))) \end{aligned}$$

Example, Ackermann Function

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Hence we have proven termination.

Recursive definition of LPO

Let \succ be a strict order on signature Σ

Define \succ_{lpo} on $T(\Sigma, V)$ by: $s \succ_{lpo} t$ iff

(LPO1) $t \in \mathcal{V}ar(s)$ and $s \neq t$, or

(LPO2) $s = f(s_1, \dots, s_m)$, $t = g(t_1, \dots, t_n)$, and

(LPO2a) $\exists 1 \leq i \leq m$, with $s_i = t$ or $s_i \succ_{lpo} t$, or

(LPO2b) $f \succ g$ and $s \succ_{lpo} t_j$ for all $1 \leq j \leq n$, or

(LPO2c) $f = g$, and

$s \succ_{lpo} t_j$ for all $1 \leq j \leq n$, and

there exists $1 \leq i \leq m$, s.t.

$s_1 = t_1, \dots, s_{i-1} = t_{i-1}$ and $s_i \succ_{lpo} t_i$.

Recursive definition of LPO

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Define \succ_{lpo} on $T(\Sigma, V)$ by: $s \succ_{lpo} t$ iff

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$s_1 = t_1, \dots, s_{i-1} = t_{i-1}$ and $s_i \succ_{lpo} t_i$.

Theorem

\succ_{ilpo} is equivalent with \succ_{lpo}