

# Logic and Modelling

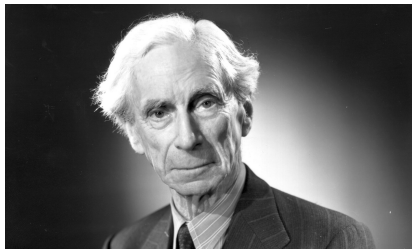
— Predicate Logic with Equality —

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## Russell's Barber Paradox

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Bertrand Russell (1872–1970)

# Russell's Barber Paradox

In a town with just one barber, who is male, all men are required by law to keep themselves clean-shaven.

Every man must do so by doing **exactly one** of two things:

- (i) shaving himself; or
- (ii) being shaved by the barber.

What does the law require of the barber? **He has no option!**

**Case 1: The barber shaves himself.** Then he does (i) and (ii).  
By doing **both (i) and (ii)**, he violates the law that only permits exactly one of these options. ✘

**Case 2: The barber does not shave himself.** Then he does not do (i). As he is the barber, he also is not shaved by the barber. So he does not do (ii). By doing **neither (i) nor (ii)**, the law is again violated. ✘

# Russell's Barber Paradox

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Is the following formula satisfiable?

$$\exists x(\text{man}(x) \wedge \forall y(\text{man}(y) \rightarrow (\text{shaves}(x, y) \leftrightarrow \neg \text{shaves}(y, y))))$$

Or the following simplified version?

$$\exists x \forall y (\text{shaves}(x, y) \leftrightarrow \neg \text{shaves}(y, y))$$

Actually this formula is **unsatisfiable** (not satisfiable).

## Predicate Logic with Equality

# Predicate Logic with Equality

Fixed binary predicate symbol  $=$  for equality.

Notation:

- ▶ infix notation  $x = y$  instead of  $=(x, y)$
- ▶ the notation  $x \neq y$  is an abbreviation of  $\neg x = y$

Uniform, fixed interpretation of  $=$  in every model.

If the model  $\mathcal{M}$  has domain  $A$ , then the interpretation of  $=$  is

$$=^{\mathcal{M}} = \{ \langle a, a \rangle \mid a \in A \}$$

Formulas may use  $=$  like a predicate symbol (but  $= \notin \mathcal{P}$ ).

# Models in Predicate Logic with Equality

Let

- ▶  $\mathcal{F}$  be a set of function symbols,
- ▶  $\mathcal{P}$  a set of predicate symbols (not containing  $=$ ).

A **model**  $\mathcal{M}$  for  $\langle \mathcal{F}, \mathcal{P} \rangle$  in predicate logic with equality consists of:

- ▶ a non-empty set  $A$ , called **domain** or **universe**,
- ▶ an **interpretation operation**  $(\cdot)^{\mathcal{M}}$  for the symbols in  $\mathcal{F}, \mathcal{P}$ 
  - (i)  $f^{\mathcal{M}} : A^n \rightarrow A$  for every  $n$ -ary function symbol  $f \in \mathcal{F}$
  - (ii)  $P^{\mathcal{M}} \subseteq A^n$  for every  $n$ -ary predicate symbols  $P \in \mathcal{P}$
- ▶ the **fixed interpretation** of  $=$  in  $\mathcal{M}$ :

$$=^{\mathcal{M}} = \{ \langle a, a \rangle \mid a \in A \}$$



# Models in Predicate Logic with Equality

**Truth** of a formula  $\phi$  in a model  $\mathcal{M}$  with universe  $A$  with respect to environment  $\ell$  is defined by induction on the structure of  $\phi$ :

Atomic formulas:

- ▶  $\mathcal{M} \models_{\ell} \mathbf{P}(t_1, \dots, t_n) \iff \langle t_1^{\mathcal{M}, \ell}, \dots, t_n^{\mathcal{M}, \ell} \rangle \in \mathbf{P}^{\mathcal{M}}$
- ▶  $\mathcal{M} \models_{\ell} t_1 = t_2 \iff \langle t_1^{\mathcal{M}, \ell}, t_2^{\mathcal{M}, \ell} \rangle \in =^{\mathcal{M}} \iff t_1^{\mathcal{M}, \ell} = t_2^{\mathcal{M}, \ell}$

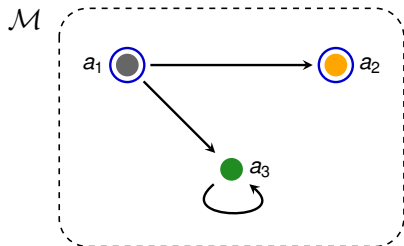
Logic connectives:

- ▶  $\mathcal{M} \models_{\ell} \neg\phi \iff \mathcal{M} \not\models_{\ell} \phi$
- ▶  $\mathcal{M} \models_{\ell} \phi \wedge \psi \iff \mathcal{M} \models_{\ell} \phi$  and  $\mathcal{M} \models_{\ell} \psi$
- ▶  $\mathcal{M} \models_{\ell} \phi \vee \psi \iff \mathcal{M} \models_{\ell} \phi$  or  $\mathcal{M} \models_{\ell} \psi$
- ▶  $\mathcal{M} \models_{\ell} \phi \rightarrow \psi \iff$  (if  $\mathcal{M} \models_{\ell} \phi$  then  $\mathcal{M} \models_{\ell} \psi$ )

Quantifiers:

- ▶  $\mathcal{M} \models_{\ell} \forall x \phi \iff$  for all  $a \in A$  it holds:  $\mathcal{M} \models_{\ell[x \mapsto a]} \phi$
- ▶  $\mathcal{M} \models_{\ell} \exists x \phi \iff$  for some  $a \in A$  it holds:  $\mathcal{M} \models_{\ell[x \mapsto a]} \phi$

# Another Simple Model

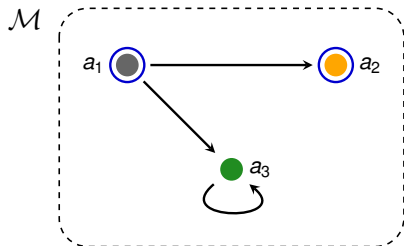


- ▶  $R^{\mathcal{M}}$ : black arrows
- ▶  $P^{\mathcal{M}}$ : blue circles
- ▶  $b^{\mathcal{M}}$ : orange point
- ▶  $c^{\mathcal{M}}$ : green point

Formal definition of the model  $\mathcal{M}$ :

- ▶ domain  $A = \{ a_1, a_2, a_3 \}$
- ▶  $R^{\mathcal{M}} = \{ \langle a_1, a_2 \rangle, \langle a_1, a_3 \rangle, \langle a_3, a_3 \rangle \}$
- ▶  $P^{\mathcal{M}} = \{ a_1, a_2 \}$
- ▶  $b^{\mathcal{M}} = a_2$
- ▶  $c^{\mathcal{M}} = a_3$ .

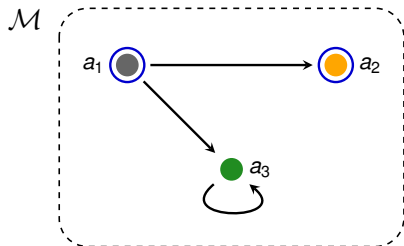
# Interpreting Formulas with Equality



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- ▶  $\mathcal{M} \not\models b = c$
- ▶  $\mathcal{M} \models b = b$
- ▶  $\mathcal{M} \models_{\ell[x \mapsto a_1]} x \neq c$
- ▶  $\mathcal{M} \models_{\ell[x \mapsto a_1]} x = x$
- ▶  $\mathcal{M} \models \forall x x = x$
- ▶  $\mathcal{M} \models \forall x \exists y x \neq y$

# Interpreting Formulas with Equality



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- ▶  $\mathcal{M} \models \exists x \exists y \exists z (x \neq y \wedge x \neq z \wedge y \neq z)$
- ▶  $\mathcal{M} \not\models \forall x \forall y (P(x) \wedge P(y) \rightarrow x = y)$
- ▶  $\mathcal{M} \models \forall x \forall y (R(x, x) \wedge R(y, y) \rightarrow x = y)$
- ▶  $\mathcal{M} \not\models \forall x (R(x, b) \vee R(x, c))$
- ▶  $\mathcal{M} \models \forall x (x = b \vee R(x, c))$
- ▶  $\mathcal{M} \models \exists x \forall y (y = x \vee R(x, y))$

# Model Cardinality

# Constraining Model Cardinality (with **At Least**)

We consider the following sentences  $\phi_n$  for  $n \in \mathbb{N}$  with  $n \geq 2$ :

- ▶  $\phi_2 = \exists x_1 \exists x_2 x_1 \neq x_2$
- ▶  $\phi_3 = \exists x_1 \exists x_2 \exists x_3 (x_1 \neq x_2 \wedge x_1 \neq x_3 \wedge x_2 \neq x_3)$
- ▶ ...
- ▶  $\phi_n = \exists x_1 \dots \exists x_n \bigwedge_{1 \leq i < j \leq n} x_i \neq x_j$

## Proposition

For all models  $\mathcal{M}$  and all  $n \geq 2$  the following statements hold:

- (i)  $\mathcal{M} \models \phi_n \iff A$  has **at least**  $n$  elements (i.e.  $|A| \geq n$ )
- (ii)  $\mathcal{M} \models \neg \phi_n \iff A$  has **less than**  $n$  elements (i.e.  $|A| < n$ )
- (iii)  $\mathcal{M} \models \phi_n \wedge \neg \phi_{n+1} \iff A$  has **precisely**  $n$  elements  
(i.e.  $|A| = n$ )

# Constraining Model Cardinality (with **At Most**)

We consider the following sentences  $\psi_n$  for  $n \in \mathbb{N}$  with  $n \geq 1$ :

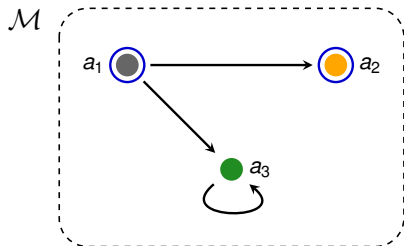
- ▶  $\psi_1 = \forall x_1 \forall x_2 \ x_1 = x_2$
- ▶  $\psi_2 = \forall x_1 \forall x_2 \forall x_3 (x_1 = x_2 \vee x_1 = x_3 \vee x_2 = x_3)$
- ▶ ...
- ▶  $\psi_n = \forall x_1 \dots \forall x_{n+1} \bigvee_{1 \leq i < j \leq n} x_i = x_j$

## Proposition

For all models  $\mathcal{M}$  and all  $n \geq 1$  the following statements hold:

- (i)  $\mathcal{M} \models \psi_n \iff A$  has **at most**  $n$  elements (i.e.  $|A| \leq n$ )
- (ii)  $\mathcal{M} \models \neg \psi_n \iff A$  has **more than**  $n$  elements (i.e.  $|A| > n$ )
- (iii)  $\mathcal{M} \models \neg \psi_n \wedge \psi_{n+1} \iff A$  has **precisely**  $n + 1$  elements (i.e.  $|A| = n + 1$ )

# At Most One



- ▶  $R^{\mathcal{M}}$ : black arrows
- ▶  $P^{\mathcal{M}}$ : blue circles
- ▶  $b^{\mathcal{M}}$ : orange point
- ▶  $c^{\mathcal{M}}$ : green point

At most one  $R$ -loop:

$$\mathcal{M} \models \forall x \forall y (R(x, x) \wedge R(y, y) \rightarrow x = y)$$

Not at least two  $R$ -loops:

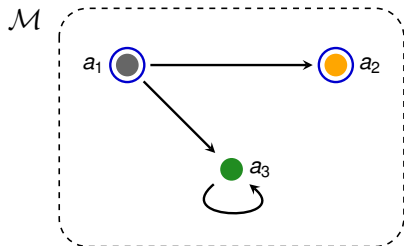
$$\mathcal{M} \models \neg \exists x \exists y (R(x, x) \wedge R(y, y) \wedge x \neq y)$$

Both sentences are equivalent because:

$$\text{at most one } (\leq 1) \iff \text{not at least two (not } \geq 2)$$



# At Least



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At least one  $P$ -value:

$$\mathcal{M} \models \exists x P(x)$$

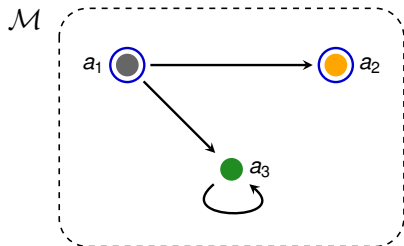
At least two  $P$ -values:

$$\mathcal{M} \models \exists x \exists y (P(x) \wedge P(y) \wedge x \neq y)$$

At least three  $P$ -values:

$$\mathcal{M} \not\models \exists x \exists y \exists z ( P(x) \wedge P(y) \wedge P(z) \\ \wedge x \neq y \wedge x \neq z \wedge y \neq z )$$

# At Most Two



- ▶  $R^{\mathcal{M}}$ : black arrows
- ▶  $P^{\mathcal{M}}$ : blue circles
- ▶  $b^{\mathcal{M}}$ : orange point
- ▶  $c^{\mathcal{M}}$ : green point

At most two  $P$ -values:

$$\mathcal{M} \models \forall x \forall y \forall z (P(x) \wedge P(y) \wedge P(z) \rightarrow x = y \vee x = z \vee y = z)$$

Not at least three  $P$ -values:

$$\mathcal{M} \models \neg \exists x \exists y \exists z (P(x) \wedge P(y) \wedge P(z) \wedge x \neq y \wedge x \neq z \wedge y \neq z)$$

Both are equivalent because:

$$\text{at most two } (\leq 2) \iff \text{not: at least three (not } \geq 3)$$

# Precisely One

There are different possibilities to express: **precisely one**.

Each of the following sentences expresses that

**There is precisely one  $P$ -value.**

**There is at least one, and at most one  $P$ -value:**

$$\exists x P(x) \wedge \forall x \forall y (P(x) \wedge P(y) \rightarrow x = y)$$

**(Equivalently: there is a  $P$ -value, and all  $P$ -values are equal)**

**There is a  $P$ -value  $x$ , and all  $P$ -values are equal to  $x$ :**

$$\exists x (P(x) \wedge \forall y (P(y) \rightarrow x = y))$$

**There is a value  $x$  such that**

**an arbitrary value is a  $P$ -value if and only if it is  $x$ :**

$$\exists x \forall y (P(y) \leftrightarrow x = y)$$

## Translation into Predicate Logic with Equality

# Translating into Predicate Logic with Equality

- ▶ Jan has more than one bicycle
- ▶ Jan has (precisely) two bicycles
- ▶ Jan has two bicycles, but he just uses one of them
- ▶ Everybody votes for at most one person
- ▶ Only Rutte en Samsom vote for themselves
- ▶ All members of parliament except for Rutte
- ▶ Apart from Mary, Jan also has other sisters that play chess

On the following slides we give the translations.

Thereby

- ▶ translation key,
- ▶ domains, and
- ▶ interpretations

will be self-explanatory (and left implicit).

## Jan has ... bicycles

### Jan has more than one bicycle

$$\exists x \exists y ( B(x) \wedge B(y) \wedge H(j, x) \wedge H(j, y) \wedge x \neq y )$$

### Jan has (precisely) two bicycles

There are more solutions:

- ▶  $\exists x \exists y ( B(x) \wedge B(y) \wedge x \neq y \wedge H(j, x) \wedge H(j, y) \wedge \forall z ( B(z) \wedge H(j, z) \rightarrow z = x \vee z = y ) )$
- ▶  $\exists x \exists y ( x \neq y \wedge \forall z ( B(z) \wedge H(j, z) \leftrightarrow z = x \vee z = y ) )$

### Jan has two bicycles, but he only uses one of them

$$\exists x \exists y ( x \neq y \wedge \forall z ( B(z) \wedge H(j, z) \leftrightarrow z = x \vee z = y ) )$$

# Jan has ... bicycles

## Jan has more than one bicycle

$$\exists x \exists y ( B(x) \wedge B(y) \wedge H(j, x) \wedge H(j, y) \wedge x \neq y )$$

## Jan has (precisely) two bicycles

There are more solutions:

- ▶  $\exists x \exists y ( B(x) \wedge B(y) \wedge x \neq y \wedge H(j, x) \wedge H(j, y) \wedge \forall z ( B(z) \wedge H(j, z) \rightarrow z = x \vee z = y ) )$
- ▶  $\exists x \exists y ( x \neq y \wedge \forall z ( B(z) \wedge H(j, z) \leftrightarrow z = x \vee z = y ) )$

## Jan has two bicycles, but he only uses one of them

$$\exists x \exists y ( x \neq y \wedge \forall z ( B(z) \wedge H(j, z) \leftrightarrow z = x \vee z = y ) \wedge U(j, x) \wedge \neg U(j, y) )$$

# Everybody Votes for At Most One Person

We translate in a few steps.

Jan votes for at most one person

$$\forall x \forall y (V(j, x) \wedge V(j, y) \rightarrow x = y)$$

Now a property:

$z$  votes for at most one person:

$$\forall x \forall y (V(z, x) \wedge V(z, y) \rightarrow x = y)$$

The sentence 'Everybody votes for at most one person.' states that this property is shared by everyone ( $\forall z$ ):

Everybody votes for at most one person:

$$\forall z \forall x \forall y (V(z, x) \wedge V(z, y) \rightarrow x = y)$$



# Rutte, Samsom, and the Parliament

## Only Rutte and Samsom vote for themselves

Two solutions:

- ▶  $V(r, r) \wedge V(s, s) \wedge \forall x (V(x, x) \rightarrow x = r \vee x = s)$
- ▶  $\forall x (V(x, x) \leftrightarrow x = r \vee x = s)$

## All members of parliament except for one vote for Rutte

Again two solutions:

- ▶ There is a member who does not vote for Rutte, but all others do:

$$\exists x (MP(x) \wedge \neg V(x, r) \wedge \forall y (MP(y) \wedge y \neq x \rightarrow V(y, r)))$$

- ▶ Precisely one human being is a member of parliament and does not vote for Rutte:

$$\exists x \forall y (MP(y) \wedge \neg V(y, r) \leftrightarrow y = x)$$

# Jan's Chess Playing Sisters

The sentence:

Apart from Mary, Jan has other sisters who play chess

may have two readings:

- ▶ ... has at least one other sister ...
- ▶ ... at least two other sisters ...

... has at least one other sister ...

$$S(m, j) \wedge C(m) \wedge \exists x (x \neq m \wedge S(x, j) \wedge C(x))$$

... at least two other sisters ...

$$S(m, j) \wedge C(m) \wedge \exists x \exists y (x \neq m \wedge y \neq m \wedge x \neq y \\ \wedge S(x, j) \wedge C(x) \wedge S(y, j) \wedge C(y))$$

## Natural Deduction with Equality

# Natural Deduction Rules for Equality

There are two rules for equality, introduction and elimination.

Equality introduction  $=_i$

$$\frac{}{t = t} =_i$$

Equality elimination  $=_e$

$$\frac{t_1 = t_2 \quad \phi[t_1/x]}{\phi[t_2/x]} =_e$$

# Reflexivity of Equality

We can derive

$$\vdash \forall x x = x$$

as follows:

	$y$	
1	$y = y$	$=_i$
2	$\forall x x = x$	$\forall_i 1-1$

# Symmetry of Equality

We show that

$$t_1 = t_2 \vdash t_2 = t_1$$

1	$t_1 = t_2$	premise
2	$t_1 = t_1$	$=_i$
3	$t_2 = t_1$	$=_e$ 1,2

The rule  $=_e$  in step 3 is applied with the formula  $\phi = x = t_1$ .

Then  $\phi[t_1/x] = t_1 = t_1$  and  $\phi[t_2/x] = t_2 = t_1$ .

Recall the  $=_e$ -rule and the instance with  $\phi = x = t_1$ :

$$\frac{t_1 = t_2 \quad \phi[t_1/x]}{\phi[t_2/x]} =_e \quad \frac{t_1 = t_2 \quad t_1 = t_1}{t_2 = t_1} =_e$$

Example:  $P(c), \neg P(d) \vdash \neg c = d$

1	$P(c)$	premise
2	$\neg P(d)$	premise
3	$c = d$	assumption
4	$P(d)$	$=_e$ 3,1
5	$\perp$	$\neg_e$ 2,4
6	$\neg c = d$	$\neg_i$ 3–5