# Automata Theory :: LL Parsing 

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Simple leftmost strategy:

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Disadvantage: backtracking is expensive and difficult.

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Steps of LL parsing:

- Construct sets First $(A)$ and Follow $(A)$ for every variable $A$.
- Construct a parsing table.
- Parse the input word using the parsing table.

Useless Variables

## Removal of Useless Variables

A variable $A$ is useless for a context-free grammar if there exists no derivation of the form

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- $C$ because there is no derivation $C \Rightarrow^{*} w$ with $w \in T^{*}$
- $B$ because $B$ can be reached only together with $C$


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- $C$ because there is no derivation $C \Rightarrow^{*} w$ with $w \in T^{*}$
- $B$ because $B$ can be reached only together with $C$ The resulting grammar is $S \rightarrow a S b \mid \lambda$.


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$\square S$ is reachable.
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A variable is useless if it is not in one of the remaining rules.

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We remove all rules containing non-reachable variables:

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Hence only $S$ is useful, the variables $A, B, C$ are not useful.

First $(A)$

## $\operatorname{First}(A)$

We consider the first terminal letters derivable from a word:

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\operatorname{First}(w)=\left\{a \in T \mid w \Rightarrow^{*} a \ldots\right\} \cup\left\{\lambda \mid w \Rightarrow^{*} \lambda\right\}
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## Algorithm

Let PreFirst( $w$ ) be the smallest set such that:

- $w \in \operatorname{PreFirst}(w)$
- $a \in \operatorname{PreFirst}(w)$ if $a v \in \operatorname{PreFirst}(w)$
- $B \in \operatorname{PreFirst}(w)$ if $B v \in \operatorname{PreFirst}(w)$
$\square v \in \operatorname{PreFirst}(w)$ if $B v \in \operatorname{PreFirst}(w)$ and $B$ erasable
■ $v \in \operatorname{PreFirst}(w)$ for every $A \in \operatorname{PreFirst}(w)$ and rule $A \rightarrow v$
Then First $(w)$ consists of
■ all terminal letters $a \in T$ such that $a \in \operatorname{PreFirst}(w)$, and
$\square \lambda$ if $w=A_{1} A_{2} \ldots A_{n}$ for erasable variables $A_{1}, \ldots, A_{n}$.


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We determine $\operatorname{PreFirst}(A), \operatorname{PreFirst}(B)$ and $\operatorname{PreFirst}(S):$

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$\operatorname{PreFirst}(A)=\{A, \underbrace{B a}, \underbrace{\lambda}, \underbrace{B}, \underbrace{A b}, \underbrace{d}, \underbrace{b}\}$ from $A$ from $A$ from $B a$ from $B$ from $B$ from $A b$
$\operatorname{PreFirst}(B)=\{B, \underbrace{A b}, \underbrace{d}, \underbrace{b}\} \cup \operatorname{PreFirst}(A)$ from $B$ from $B$ from $A b$ from $A b$

$$
=\{A, B a, \lambda, B, A b, d, b\}
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$\operatorname{PreFirst}(S)=\{S, \underbrace{A A c}, \underbrace{A c}, \underbrace{c}, \underbrace{A}\} \cup \operatorname{PreFirst}(A)$ from $S$ from $A A c$ from $A c$ from $A A c$

## Exercise

$$
S \rightarrow A A c \quad A \rightarrow B a|\lambda \quad B \rightarrow A b| d
$$

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$\operatorname{PreFirst}(S)=\{S, \underbrace{A A c}, \underbrace{A c}, \underbrace{c}, \underbrace{A}\} \cup \operatorname{PreFirst}(A)$ from $S$ from $A A c$ from $A c$ from $A A c$
$=\{S, A A c, A c, c, A, B a, \lambda, B, A b, d, b\}$

## Exercise

$$
S \rightarrow A A c \quad A \rightarrow B a|\lambda \quad B \rightarrow A b| d
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Thus we get
First $(A)=$
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$\operatorname{First}(S)=$

## Exercise

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Thus we get
$\operatorname{First}(A)=\{b, d, \lambda\} \quad \operatorname{First}(B)=\{b, d\} \quad \operatorname{First}(S)=\{b, c, d\}$

Follow( $A$ )

## Follow $(A)$

The sets $\operatorname{First}(A)$ are not yet sufficient for 'predictive' parsing, if there are derivations $A \Rightarrow^{+} \lambda$.

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We consider the terminal letters that can follow a variable:

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\text { Follow }(A)=\left\{a \in T \mid S \Rightarrow^{*} \ldots A a \ldots\right\}
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Intuition: $a \in \operatorname{Follow}(A)$ if $A$ can be followed by $a$ in a derivation.

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## Example

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- Follow $(C) \subseteq$ Follow $(B)$

Example: $S \Rightarrow \mathrm{Ca} \Rightarrow \mathrm{ABa}$ if $S \rightarrow \mathrm{Ca}$

## Example

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- Follow $(C) \subseteq$ Follow $(B)$

Example: $S \Rightarrow C a \Rightarrow A B a$ if $S \rightarrow C a$

- Follow $(C) \subseteq \operatorname{Follow}(A)$ if $B \Rightarrow^{*} \lambda$

Example: $S \Rightarrow C a \Rightarrow A B a \Rightarrow A a$ if $S \rightarrow C a$ and $B \rightarrow \lambda$

## Exercise

- Follow $(S) \supseteq\{\$\}$

■ Follow $(A) \supseteq \operatorname{First}(w) \backslash\{\lambda\}$ for every rule $B \rightarrow v A w$

- Follow $(A) \supseteq$ Follow $(B)$ for rules $B \rightarrow v A w$ with $\lambda \in \operatorname{First}(w)$

$$
\begin{array}{ll}
S \rightarrow D c & A \rightarrow B a \mid \lambda \\
D \rightarrow A A & B \rightarrow A b \mid d
\end{array}
$$

We have

$$
\begin{array}{ll}
\operatorname{First}(S)=\{b, c, d\} & \operatorname{First}(A)=\{\lambda, b, d\} \\
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Determine Follow $(S)$, Follow $(D)$, $\operatorname{Follow}(A)$, Follow $(B)$ :

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Follow $(S) \supseteq$

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Determine Follow $(S)$, Follow $(D)$, Follow $(A)$, Follow $(B)$ :
Follow $(S) \supseteq\{\$\}$
Follow $(D) \supseteq$

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Determine Follow $(S)$, Follow $(D)$, Follow $(A)$, Follow $(B)$ :
Follow $(S) \supseteq\{\$\}$
Follow $(D) \supseteq\{c\}$

## Exercise

- Follow $(S) \supseteq\{\$\}$

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Determine Follow $(S)$, Follow $(D)$, Follow $(A)$, Follow $(B)$ :
Follow $(S) \supseteq\{\$\}$
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Follow $(A) \supseteq(\operatorname{First}(A) \backslash\{\lambda\})$

## Exercise

- Follow $(S) \supseteq\{\$\}$

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## Exercise

- Follow $(S) \supseteq\{\$\}$
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Follow $(B) \supseteq\{\boldsymbol{a}\}$

Parser Tables

## Parser Tables

The parser table for a context-free grammar is a table with

- columns indexed by terminals $T \cup\{\$\}$,
- rows indexed by variables $V$,

At place $[a \in T \cup\{\$\}, B \in V]$ it contains rules $B \rightarrow u$ for which

- $a \in \operatorname{First}(u)$, or
(never the case for $a=\$$ )
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$$
S \rightarrow a S b \mid \lambda
$$

We have
$\square \operatorname{First}(a S b)=\{a\}, \operatorname{First}(\lambda)=\{\lambda\}, \operatorname{First}(S)=\{\lambda, a\}$

- Follow $(S)=\{b, \$\}$,

Thus the parser table is:

|  | $a$ | $b$ | $\$$ |
| :--- | :--- | :--- | :--- |
| $S$ |  |  |  |

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| :---: | :---: | :---: | :---: |
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## Parser Tables

The parser table for a context-free grammar is a table with

- columns indexed by terminals $T \cup\{\$\}$,
- rows indexed by variables $V$,

At place $[a \in T \cup\{\$\}, B \in V]$ it contains rules $B \rightarrow u$ for which

- $a \in \operatorname{First}(u)$, or
(never the case for $a=\$$ )
$\square \lambda \in \operatorname{First}(u)$ and $a \in \operatorname{Follow}(B)$.

$$
S \rightarrow a S b \mid \lambda
$$

We have
$\square \operatorname{First}(a S b)=\{a\}, \operatorname{First}(\lambda)=\{\lambda\}, \operatorname{First}(S)=\{\lambda, a\}$

- Follow $(S)=\{b, \$\}$,

Thus the parser table is:

|  | $a$ | $b$ | $\$$ |
| :---: | :---: | :---: | :---: |
| $S$ | $S \rightarrow a S b$ | $S \rightarrow \lambda$ | $S \rightarrow \lambda$ |

## LL(1) Grammars and Parsing

A grammar is $\mathrm{LL}(1)$ if its parser table contains in ever cell [ $a \in T \cup\{\$\}, B \in V]$ at most one production rule.

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- $\left\langle B v^{\prime}, \$\right\rangle$ becomes $\left\langle v^{\prime}, \$\right\rangle$ if $B \rightarrow u$ at position $[\$, B]$
- $\langle \$, \$\rangle$ results in accept


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To parse $a_{1} \cdots a_{n}$, we start with $\left\langle S \$, a_{1} \cdots a_{n} \$\right\rangle$.
From a state $\langle v, w\rangle$ we can do the following steps:

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- $\left\langle B v^{\prime}, \$\right\rangle$ becomes $\left\langle v^{\prime}, \$\right\rangle$ if $B \rightarrow u$ at position $[\$, B]$
- $\langle \$, \$\rangle$ results in accept
- In all other cases, $\langle v, w\rangle$ results in reject!


## Example

$$
S \rightarrow a S b \mid \lambda
$$

The parser table is:

|  | $a$ | $b$ | $\$$ |
| :---: | :---: | :---: | :---: |
| $S$ | $S \rightarrow a S b$ | $S \rightarrow \lambda$ | $S \rightarrow \lambda$ |

$\langle S \$, a b \$\rangle$

## Example

$$
S \rightarrow a S b \mid \lambda
$$

The parser table is:

|  | $a$ | $b$ | $\$$ |
| :---: | :---: | :---: | :---: |
| $S$ | $S \rightarrow a S b$ | $S \rightarrow \lambda$ | $S \rightarrow \lambda$ |

$\langle S \$, a b \$\rangle \rightarrow\langle a S b \$, a b \$\rangle$

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The parser table is:

|  | $a$ | $b$ | $\$$ |
| :---: | :---: | :---: | :---: |
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$\rightarrow\langle \$, \$\rangle$

## Example

$$
S \rightarrow a S b \mid \lambda
$$

The parser table is:

$$
\begin{array}{rl} 
& a \\
S & S \rightarrow a S b \\
\hline S \rightarrow \lambda & S \rightarrow \lambda \\
\langle S \$, a b \$\rangle & \rightarrow\langle a S b \$, a b \$\rangle \rightarrow\langle S b \$, b \$\rangle \rightarrow\langle b \$, b \$\rangle \\
& \rightarrow\langle \$, \$\rangle \text { accept }
\end{array}
$$

## Example

$$
S \rightarrow a S b \mid \lambda
$$

The parser table is:

$$
\begin{array}{r|ccc} 
& a & b & \$ \\
\hline S & S \rightarrow a S b & S \rightarrow \lambda & S \rightarrow \lambda \\
\langle S \$, a b \$\rangle & \rightarrow\langle a S b \$, a b \$\rangle \rightarrow\langle S b \$, b \$\rangle \rightarrow\langle b \$, b \$\rangle \\
& \rightarrow\langle \$, \$\rangle \text { accept }
\end{array}
$$

〈S\$, abb\$〉

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S \rightarrow a S b \mid \lambda
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The parser table is:

|  | $a$ | $b$ | $\$$ |
| :---: | :---: | :---: | :---: |
| $S$ | $S \rightarrow a S b$ | $S \rightarrow \lambda$ | $S \rightarrow \lambda$ |

$\langle S \$, a b \$\rangle \rightarrow\langle a S b \$, a b \$\rangle \rightarrow\langle S b \$, b \$\rangle \rightarrow\langle b \$, b \$\rangle$
$\rightarrow\langle \$, \$\rangle$ accept
$\langle S \$, a b b \$\rangle \rightarrow\langle a S b \$, a b b \$\rangle$

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| :---: | :---: | :---: | :---: |
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S \rightarrow a S b \mid \lambda
$$

The parser table is:

$$
\begin{array}{r|ccc} 
& a & b & \$ \\
& S & S \rightarrow a S b & S \rightarrow \lambda \\
\hline S \rightarrow \lambda \\
\langle S \$, a b \$\rangle & \rightarrow\langle a S b \$, a b \$\rangle \rightarrow\langle S b \$, b \$\rangle \rightarrow\langle b \$, b \$\rangle \\
& \rightarrow\langle \$, \$\rangle \text { accept } \\
\langle S \$, a b b \$\rangle & \rightarrow\langle a S b \$, a b b \$\rangle \rightarrow\langle S b \$, b b \$\rangle \rightarrow\langle b \$, b b \$\rangle \\
& \rightarrow\langle \$, b \$\rangle
\end{array}
$$

## Example

$$
S \rightarrow a S b \mid \lambda
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The parser table is:

$$
\left.\begin{array}{rlcc} 
& a & b & \$ \\
& S & S \rightarrow a S b & S \rightarrow \lambda \\
\hline S \rightarrow \lambda
\end{array}\right)
$$

## Example

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S \rightarrow a S b \mid \lambda
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The parser table is:

$$
\left.\begin{array}{rlcc} 
& a & b & \$ \\
& S & S \rightarrow a S b & S \rightarrow \lambda \\
\hline S \rightarrow \lambda
\end{array}\right)
$$

$\langle S \$, a a b \$\rangle$

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$\langle S \$, a a b \$\rangle \rightarrow\langle a S b \$, a a b \$\rangle \rightarrow\langle S b \$, a b \$\rangle \rightarrow\langle a S b b \$, a b \$\rangle$ $\rightarrow\langle S b b \$, b \$\rangle$

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## Example

$$
S \rightarrow a S b \mid \lambda
$$

The parser table is:

$$
\begin{aligned}
& a \\
& S \\
& S \rightarrow a S b \quad S \rightarrow \lambda \quad S \rightarrow \lambda \\
\langle S \$, a b \$\rangle & \rightarrow\langle a S b \$, a b \$\rangle \rightarrow\langle S b \$, b \$\rangle \rightarrow\langle b \$, b \$\rangle \\
& \rightarrow\langle \$, \$\rangle \text { accept } \\
\langle S \$, a b b \$\rangle & \rightarrow\langle a S b \$, a b b \$\rangle \rightarrow\langle S b \$, b b \$\rangle \rightarrow\langle b \$, b b \$\rangle \\
& \rightarrow\langle \$, b \$\rangle \text { reject } \\
\langle S \$, a a b \$\rangle & \rightarrow\langle a S b \$, a a b \$\rangle \rightarrow\langle S b \$, a b \$\rangle \rightarrow\langle a S b b \$, a b \$\rangle \\
& \rightarrow\langle S b b \$, b \$\rangle \rightarrow\langle b b \$, b \$\rangle \rightarrow\langle b \$, \$\rangle \text { reject }
\end{aligned}
$$

JavaCC (Java Compiler Compiler) automatically generates a parser from an LL(1) grammar.

## LL(k) Grammars

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The parser table is constructed with $k$ symbols look-ahead.
A grammar is $\mathrm{LL}(k)$ if this table has in every cell $\leq 1$ rule.
$\operatorname{LL}(k)$ is strictly contained in $\operatorname{LL}(k+1)$.
Disadvantage: size of the parser table grows exponential in $k$.

## Exercises

Can ambiguous grammars be $\operatorname{LL}(k)$ for some $k \geq 1$ ?

Is the following grammar $\operatorname{LL}(k)$ for some $k \geq 1$ ?

$$
S \rightarrow a S a \mid \lambda
$$

Left Factorisation

## Left Factorisation

Left factorisation: rewrite rules $A \rightarrow u v \mid u w(u \neq \lambda)$ into

$$
A \rightarrow u B \quad \text { and } \quad B \rightarrow v \mid w
$$

where $B$ is a fresh variable.

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$$
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$$

where $B$ is a fresh variable.
The grammar $S \rightarrow a b \mid a c$ is not $\operatorname{LL}(1):$

|  | $a$ | $b$ | $c$ | $\$$ |
| :---: | :---: | :---: | :---: | :---: |
| $S$ | $S \rightarrow a b$ |  |  |  |
|  | $S \rightarrow a c$ |  |  |  |

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|  | $a$ | $b$ | $c$ | $\$$ |
| :--- | :---: | :---: | :---: | :---: |
| $S$ | $S \rightarrow a b$ |  |  |  |
|  | $S \rightarrow a c$ |  |  |  |

After left factorisation we get: $\quad S \rightarrow a A \quad A \rightarrow b \mid c$
The grammar $S \rightarrow a A, A \rightarrow b \mid c$ is $\operatorname{LL}(1):$

|  | $a$ | $b$ | $c$ | $\$$ |
| :---: | :---: | :---: | :---: | :---: |
| $S$ | $S \rightarrow a A$ |  |  |  |
| $A$ |  | $A \rightarrow b$ | $A \rightarrow c$ |  |

