# Automata Theory :: Chomsky Normal Form 

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## Lambda Rules and Erasable Variables

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- If $A \rightarrow B_{1} \ldots B_{n}$ and $B_{1}, \ldots, B_{n}$ are erasable, then so is $A$.


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$$
\begin{array}{llll}
S \rightarrow A c B & A \rightarrow C B C & B \rightarrow a b B & \\
& & C \rightarrow c C d \\
& B \rightarrow \lambda & C \rightarrow B B
\end{array}
$$

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\begin{array}{llll}
S \rightarrow A c B & A \rightarrow C B C & B & \rightarrow a b B \\
& & C \rightarrow c C d \\
& B \rightarrow \lambda & & C \rightarrow B B
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- $B$ is erasable because of the rule $B \rightarrow \lambda$
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- If $A \rightarrow \lambda$, then $A$ is erasable.

■ If $A \rightarrow B_{1} \cdots B_{n}$ and $B_{1}, \ldots, B_{n}$ are erasable, then so is $A$.

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\begin{array}{llll}
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& & C \rightarrow c C d \\
& B \rightarrow \lambda & & C \rightarrow B B
\end{array}
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We determine the set of erasable variables:

- $B$ is erasable because of the rule $B \rightarrow \lambda$
$\square C$ is erasable because of $C \rightarrow B B$ and $B$ is erasable
- $A$ is erasable because of $A \rightarrow C B C$ and $B, C$ are erasable


## Lambda Rules and Erasable Variables

A production rule $A \rightarrow \lambda$ is called $\lambda$-production rule.

A variable $A$ is called erasable if $A \Rightarrow^{+} \lambda$.
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- If $A \rightarrow \lambda$, then $A$ is erasable.

■ If $A \rightarrow B_{1} \cdots B_{n}$ and $B_{1}, \ldots, B_{n}$ are erasable, then so is $A$.

$$
\begin{array}{llll}
S \rightarrow A c B & A \rightarrow C B C & B & \rightarrow a b B \\
& & C \rightarrow c C d \\
& B \rightarrow \lambda & & C \rightarrow B B
\end{array}
$$

We determine the set of erasable variables:

- $B$ is erasable because of the rule $B \rightarrow \lambda$
- $C$ is erasable because of $C \rightarrow B B$ and $B$ is erasable
- $A$ is erasable because of $A \rightarrow C B C$ and $B, C$ are erasable So the variables $A, B, C$ are erasable.


## Removal of Lambda Rules

Theorem
For every context-free language $L$ there exists a context-free grammar $G$ without $\lambda$-rules such that $L(G)=L \backslash\{\lambda\}$.

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Let $G$ be a context-free grammar with $L(G)=L$.

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Let $G$ be a context-free grammar with $L(G)=L$.

- Determine all erasable variables (that is, variables $A \Rightarrow^{*} \lambda$ ).
- For every rule $A \rightarrow x B y$ with $B \Rightarrow^{*} \lambda$, add a rule $A \rightarrow x y$.


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- Determine all erasable variables (that is, variables $A \Rightarrow^{*} \lambda$ ).
- For every rule $A \rightarrow x B y$ with $B \Rightarrow^{*} \lambda$, add a rule $A \rightarrow x y$.
- Remove all $\lambda$-production rules.


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- For every rule $A \rightarrow x B y$ with $B \Rightarrow^{*} \lambda$, add a rule $A \rightarrow x y$.
- Remove all $\lambda$-production rules.

The resulting grammar $G$ has the property $L(G)=L \backslash\{\lambda\}$.

## Exercise

Consider the following grammar

$$
\begin{aligned}
S \rightarrow A B a C \quad A \rightarrow B C \quad & B \rightarrow b \mid \lambda \quad D \rightarrow d \\
& C \rightarrow D \mid \lambda
\end{aligned}
$$

## Exercise

Consider the following grammar

$$
\begin{array}{rl}
S \rightarrow A B a C \quad A \rightarrow B C & B \rightarrow b \mid \lambda \quad D \rightarrow d \\
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\end{array}
$$

What variables are erasable?

## Exercise

Consider the following grammar

$$
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What variables are erasable?
$\square A, B$ and $C$

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\end{array}
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What variables are erasable?

- $A, B$ and $C$

Construct the resulting grammar after removing all $\lambda$-rules:

## Exercise

Consider the following grammar

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\begin{array}{rlr}
S \rightarrow A B a C & A \rightarrow B C & B \rightarrow b \mid \lambda \\
& C \rightarrow D \mid \lambda & D \rightarrow d
\end{array}
$$

What variables are erasable?

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Construct the resulting grammar after removing all $\lambda$-rules:

$$
\begin{aligned}
& S \rightarrow A B a C \\
& A \rightarrow B C \\
& B \rightarrow b|\lambda \quad C \rightarrow D| \lambda \quad D \rightarrow d
\end{aligned}
$$

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Consider the following grammar

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\begin{array}{rlr}
S \rightarrow A B a C & A \rightarrow B C & B \rightarrow b \mid \lambda \\
& C \rightarrow D \mid \lambda & D \rightarrow d
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What variables are erasable?

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Construct the resulting grammar after removing all $\lambda$-rules:

$$
\begin{array}{ll}
S & \rightarrow A B a C \mid B a C \\
A & \rightarrow B C \\
B & \\
b|\lambda \quad C \rightarrow D| \lambda \quad D \rightarrow d
\end{array}
$$

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\begin{array}{rlr}
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& C \rightarrow D \mid \lambda & D \rightarrow d
\end{array}
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Construct the resulting grammar after removing all $\lambda$-rules:

$$
\begin{aligned}
& S \rightarrow A B a C|B a C| A a C \\
& A \rightarrow B C \\
& B \rightarrow b|\lambda \quad C \rightarrow D| \lambda \quad D \rightarrow d
\end{aligned}
$$

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& A \rightarrow B C \\
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\end{aligned}
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& A \rightarrow B C \\
& B \rightarrow b|\lambda \quad C \rightarrow D| \lambda \quad D \rightarrow d
\end{aligned}
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\begin{array}{ll}
S \rightarrow A B a C|B a C| A a C|A B a| a C \mid B a & \\
A \rightarrow B C & \\
B \rightarrow b|\lambda \quad C \rightarrow D| \lambda \quad D \rightarrow d
\end{array}
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What variables are erasable?

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\begin{aligned}
& S \rightarrow A B a C|B a C| A a C|A B a| a C|B a| A a \\
& A \rightarrow B C \\
& B \rightarrow b|\lambda \quad C \rightarrow D| \lambda \quad D \rightarrow d
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$$
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& A \rightarrow B C|C| B|\lambda \quad C \rightarrow D| \lambda \quad D \rightarrow d \\
& B \rightarrow b \mid \lambda \quad C \quad l
\end{aligned}
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& B \rightarrow b
\end{aligned} \quad C \rightarrow D \quad D \rightarrow d
$$

## Unit Production Rules

## Removal of Unit Production Rules

A rule $A \rightarrow B$ is called unit production rule (here $B \in V$ ).

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Let $G$ be context-free, without $\lambda$-rules, and $L(G)=L \backslash\{\lambda\}$.

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## Construction

Let $G$ be context-free, without $\lambda$-rules, and $L(G)=L \backslash\{\lambda\}$.

- Determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$.


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Let $G$ be context-free, without $\lambda$-rules, and $L(G)=L \backslash\{\lambda\}$.

- Determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$.
- Whenever $A \Rightarrow^{+} B$ and $B \rightarrow y$ is a rule, add a rule $A \rightarrow y$.


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- Remove all unit production rules.


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Let $G$ be context-free, without $\lambda$-rules, and $L(G)=L \backslash\{\lambda\}$.

- Determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$.
- Whenever $A \Rightarrow^{+} B$ and $B \rightarrow y$ is a rule, add a rule $A \rightarrow y$.
- Remove all unit production rules.

The resulting grammar $G$ has no $\lambda$ - and unit-productions and it has the property $L(G)=L \backslash\{\lambda\}$.

## Exercise

Remove all unit production rules from

$$
S \rightarrow A a|B \quad A \rightarrow a| b c|B \quad B \rightarrow A| b b
$$

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Note that there are no $\lambda$-productions.
(So no need to first remove $\lambda$-productions.)

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We determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$ :

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Note that there are no $\lambda$-productions.
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We determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$ :

$$
S \Rightarrow^{+} B
$$

## Exercise

Remove all unit production rules from

$$
S \rightarrow A a|B \quad A \rightarrow a| b c|B \quad B \rightarrow A| b b
$$

Note that there are no $\lambda$-productions.
(So no need to first remove $\lambda$-productions.)
We determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$ :

$$
S \Rightarrow^{+} B \quad A \Rightarrow^{+} B
$$

## Exercise

Remove all unit production rules from

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S \rightarrow A a|B \quad A \rightarrow a| b c|B \quad B \rightarrow A| b b
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Note that there are no $\lambda$-productions.
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$$
S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A
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$$
S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A \quad S \Rightarrow^{+} A
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S \rightarrow A a|B \quad A \rightarrow a| b c|B \quad B \rightarrow A| b b
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We determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$ :

$$
S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A \quad S \Rightarrow^{+} A
$$

Thus we add the following rules:

$$
\begin{aligned}
& S \rightarrow A a \mid B \\
& A \rightarrow a|b c| B \\
& B \rightarrow A \mid b b
\end{aligned}
$$

## Exercise

Remove all unit production rules from

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S \rightarrow A a|B \quad A \rightarrow a| b c|B \quad B \rightarrow A| b b
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Note that there are no $\lambda$-productions.
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We determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$ :

$$
S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A \quad S \Rightarrow^{+} A
$$

Thus we add the following rules:

$$
\begin{aligned}
& S \rightarrow A a|B| a \\
& A \rightarrow a|b c| B \\
& B \rightarrow A \mid b b
\end{aligned}
$$

## Exercise

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Note that there are no $\lambda$-productions.
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We determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$ :

$$
S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A \quad S \Rightarrow^{+} A
$$

Thus we add the following rules:

$$
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S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A \quad S \Rightarrow^{+} A
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S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A \quad S \Rightarrow^{+} A
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$$
S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A \quad S \Rightarrow^{+} A
$$

Thus we add the following rules:

$$
\begin{aligned}
& S \rightarrow A a|B| a|b c| A \mid b b \\
& A \rightarrow a|b c| B \mid A \\
& B \rightarrow A \mid b b
\end{aligned}
$$

## Exercise

Remove all unit production rules from

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Note that there are no $\lambda$-productions.
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We determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$ :

$$
S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A \quad S \Rightarrow^{+} A
$$

Thus we add the following rules:

$$
\begin{aligned}
& S \rightarrow A a|B| a|b c| A \mid b b \\
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& B \rightarrow A \mid b b
\end{aligned}
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We determine all pairs $A \neq B$ with $A \Rightarrow^{+} B$ :

$$
S \Rightarrow^{+} B \quad A \Rightarrow^{+} B \quad B \Rightarrow^{+} A \quad S \Rightarrow^{+} A
$$

Thus we add the following rules:

$$
\begin{aligned}
& S \rightarrow A a|B| a|b c| A \mid b b \\
& A \rightarrow a|b c| B|A| b b \\
& B \rightarrow A|b b| a
\end{aligned}
$$

## Exercise

Remove all unit production rules from

$$
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\end{aligned}
$$

Removing all unit production rules yields the final result:

$$
S \rightarrow a|b b| b c|A a \quad A \rightarrow a| b b|b c \quad B \rightarrow a| b b \mid b c
$$

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## Theorem

For every context-free language $L$ there is a grammar $G$ in Chomsky normal form with $L(G)=L \backslash\{\lambda\}$.

## Chomsky Normal Form

## Construction

Let $G$ be a context-free grammar without $\lambda$ - and unit-productions and $L(G)=L \backslash\{\lambda\}$.

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## Chomsky Normal Form

## Construction

Let $G$ be a context-free grammar without $\lambda$ - and unit-productions and $L(G)=L \backslash\{\lambda\}$.

- Introduce variables $C_{a}$ and rules $C_{a} \rightarrow$ a for every $a \in T$.
- Replace every rule $A \rightarrow x_{1} \cdots x_{n}\left(x_{i} \in V \cup T\right)$ with $n \geq 2$ by

$$
A \rightarrow \sigma\left(x_{1}\right) \cdots \sigma\left(x_{n}\right) \quad \text { where } \quad \sigma(x)= \begin{cases}x, & \text { if } x \in V \\ C_{x}, & \text { if } x \in T\end{cases}
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- Replace every $A \rightarrow B_{1} \cdots B_{n}$ with $n \geq 3$ by

$$
A \rightarrow B_{1} \cdots B_{n-2} C \quad C \rightarrow B_{n-1} B_{n}
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where $C$ is a fresh variable.

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where $C$ is a fresh variable.
Repeat the last step until all rules are in Chomsky normal form.

## Exercise

Transform the following context-free grammar

$$
\begin{aligned}
& S \rightarrow a S b X \mid a \\
& X \rightarrow X a \mid a b a
\end{aligned}
$$

into Chomsky normal form.
The $\lambda$-rules and unit-rules have already been removed.

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Transform the following context-free grammar

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into Chomsky normal form.
The $\lambda$-rules and unit-rules have already been removed.
We continue the transformation:

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We continue the transformation:

$$
\begin{array}{rlrl}
S & \rightarrow C_{a} S_{2} \mid a & S_{2} \rightarrow S S_{3} \quad S_{3} \rightarrow C_{b} X \\
X & \rightarrow X C_{a} \mid C_{a} C_{b} C_{a} & \\
C_{a} & \rightarrow a & \\
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\end{array}
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Transform the following context-free grammar

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C_{a} & & \\
C_{b} \rightarrow b & & \\
& &
\end{array}
$$

