

Automata & Complexity

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Decidability

A **decision problem** P is a language $P \subseteq \Sigma^*$.

The problem P is called

- **decidable** if the P is recursive, otherwise **undecidable**,
- **semidecidable** if the P is recursively enumerable.

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- algorithm that always halts
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Decidable problem:

- algorithm that always halts
- always answers yes or no

Semidecidable problem:

- algorithm halts (eventually) if the answer is yes ($w \in P$),
- may or may not halt if the answer is no ($w \notin P$).

(Problem: one cannot know how long to wait for an answer.)

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(Semidecidable: execute M on w and wait.)

The following question not decidable and **not** semidecidable:

Universal halting problem

Does TM M reach a halting state on all $w \in \Sigma^*$? (Input: M .)

(The complement is also not semidecidable.)

The Halting Problem (1936)

The **halting problem** is: given

- a deterministic Turing machine M and
- a word x ,

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Theorem

The halting problem H is undecidable.

(The language H is not recursive.)

The Halting Problem is Undecidable (Proof 1)

Proof.

Assume that there was a deterministic TM \mathcal{H} that, given (M, x) decides whether M halts on x (that is, $(M, x) \in H$).

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Let M be a deterministic Turing machine and x a word.

We can decide $x \in L(M)$ as follows:

- If according to \mathcal{H} , M does not halt on x , then $x \notin L(M)$.
- If according to \mathcal{H} , M halts on x , then execute M on x to see whether $x \in L(M)$.

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Contradiction: not every recursively enumerable language is recursive. □

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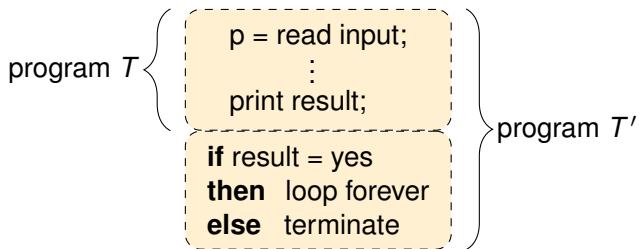
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program T {
 p = read input;
 ⋮
 print result;

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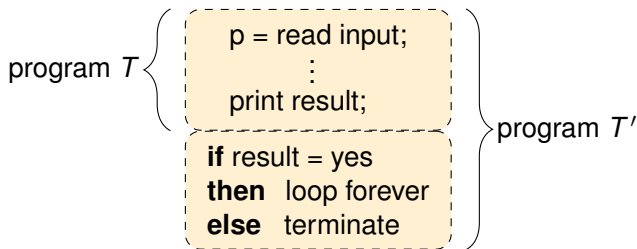
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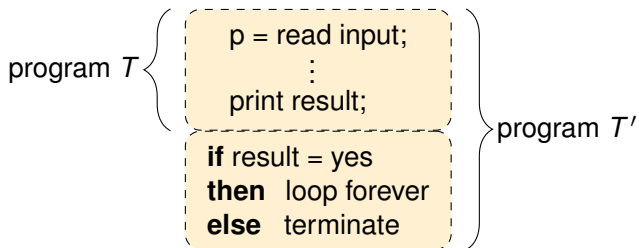


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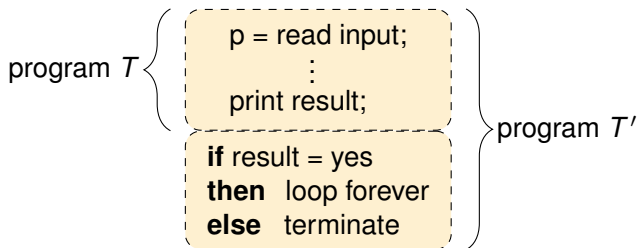
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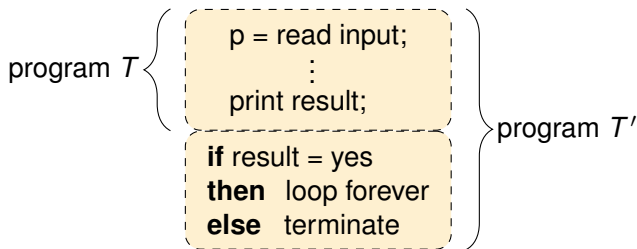
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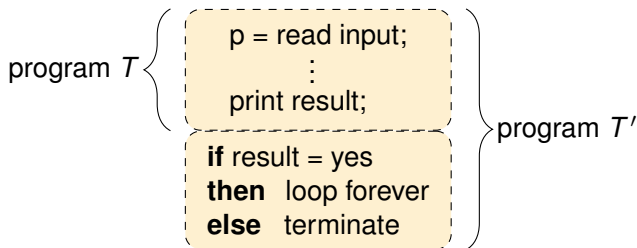
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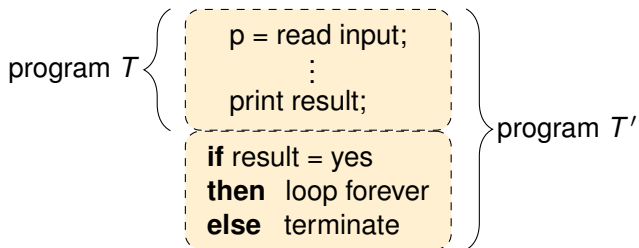
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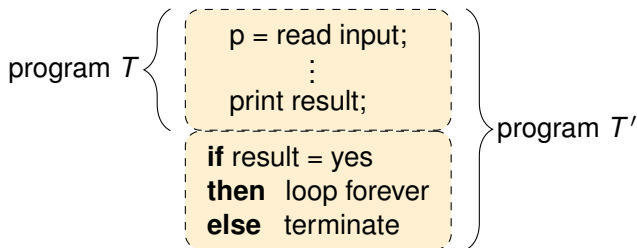
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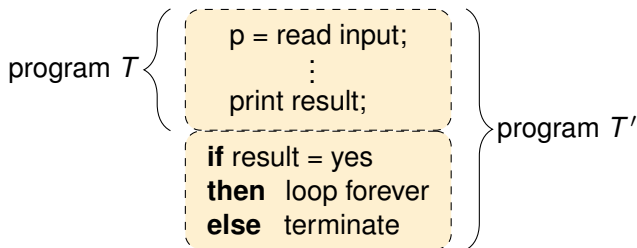
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Thus T cannot exist! The halting problem is undecidable!

Theorem of Rice (1951)

A property of a class K is **trivial** if it holds for **all** or **no** $k \in K$.

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Let L_0 be a recursively enumerable language with $\neg P(L_0)$.

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Contradiction: decidability of $P \implies L$ recursive. □

Theorem of Rice: Example

For recursively enumerable languages L , the following questions are undecidable:

1. Is $a \in L$?
2. Is L finite?

Post Correspondence Problem (1946)

Post Correspondence Problem (PCP)

Given n pairs of words:

$$(w_1, v_1), \dots, (w_n, v_n)$$

Are there indices i_1, i_2, \dots, i_k ($k \geq 1$) s.t.

$$w_{i_1} w_{i_2} \cdots w_{i_k} = v_{i_1} v_{i_2} \cdots v_{i_k} ?$$



Emil Post
(1897-1954)

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Exercise

Find a solution for

$$(w_1, v_1) = (01, 100)$$

$$(w_2, v_2) = (1, 011)$$

$$(w_3, v_3) = (110, 1)$$

Modified Post Correspondence Problem

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The modified PCP is undecidable.

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Let $G = (V, T, S, P)$ be an unrestricted grammar.

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We define (where F and E are fresh):

$$\begin{array}{lll} w_1 = F & v_1 = FS \Rightarrow & \\ w_2 = \Rightarrow wE & v_2 = E & \\ \vdots & \vdots & (x \rightarrow y \in P) \\ & & (a \in T) \\ & & (A \in V) \\ & \Rightarrow & \end{array}$$

This MPCP has a solution $\iff w \in L(G)$.

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Contradiction: If the MPCP was decidable, then $w \in L(G)$ was decidable for unrestricted grammars G ! □

Example

$$S \rightarrow AA$$

$$A \rightarrow aB \mid Bb$$

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$$w_i : \frac{1 \quad 3 \quad 7}{F \quad S \Rightarrow}$$

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$$w_i : \frac{1 \quad 3 \quad 7 \quad 4 \quad 10}{\overline{FS \Rightarrow AA}}$$

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11	B	B
12	S	S

Example derivation: $S \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow \mathit{aaab}$.

$$w_i: \frac{1 \ 3 \ 7 \ 4 \ 10 \ 7 \ 8}{\overline{FS \Rightarrow AA \Rightarrow a}}$$

$$v_i: \frac{FS \Rightarrow AA \Rightarrow aBA \Rightarrow a}{\overline{1 \quad 3 \quad 7 \quad 4 \quad 10 \quad 7 \quad 8}}$$

Example

$$S \rightarrow AA$$

$$A \rightarrow aB \mid Bb$$

$$BB \rightarrow aa$$

This grammar with $w = \mathit{aaab}$ translates to the following MPCP:

i	w_i	v_i
1	F	$FS \Rightarrow$
2	$\Rightarrow \mathit{aaabE}$	E
3	S	AA
4	A	aB
5	A	Bb
6	BB	aa

i	w_i	v_i
7	\Rightarrow	\Rightarrow
8	a	a
9	b	b
10	A	A
11	B	B
12	S	S

Example derivation: $S \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow \mathit{aaab}$.

$$w_i : \frac{1 \ 3 \ 7 \ 4 \ 10 \ 7 \ 8 \ 11}{\overline{F \ S \Rightarrow \ A \ A \Rightarrow \ a \ B}}$$

$$v_i : \frac{F \ S \Rightarrow \ A \ A \Rightarrow \ a \ B \ A \Rightarrow \ a \ B}{\underline{1 \quad 3 \quad 7 \quad 4 \quad 10 \quad 7 \quad 8 \quad 11}}$$

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This grammar with $w = \mathbf{aaab}$ translates to the following MPCP:

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i	w_i	v_i
7	\Rightarrow	\Rightarrow
8	a	a
9	b	b
10	A	A
11	B	B
12	S	S

Example derivation: $S \Rightarrow AA \Rightarrow \mathbf{aBA} \Rightarrow \mathbf{aBBb} \Rightarrow \mathbf{aaab}$.

$$w_i: \frac{1 \ 3 \ 7 \ 4 \ 10 \ 7 \ 8 \ 11 \ 5}{\overline{F \ S \Rightarrow \ A \ A \Rightarrow \ a \ B \ A}}$$

$$v_i: \frac{\overline{F \ S \Rightarrow \ A \ A \Rightarrow \ a \ B \ A \Rightarrow \ a \ B \ B \ b}}{1 \quad 3 \quad 7 \quad 4 \quad 10 \quad 7 \quad 8 \quad 11 \quad 5}$$

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10	A	A
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Example derivation: $S \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow \mathit{aaab}$.

$$w_i: \frac{1 \ 3 \ 7 \ 4 \ 10 \ 7 \ 8 \ 11 \ 5 \ 7}{\overline{FS \Rightarrow AA \Rightarrow aBA \Rightarrow}}$$

$$v_i: \frac{FS \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow}{\underline{1 \quad 3 \quad 7 \quad 4 \quad 10 \quad 7 \quad 8 \quad 11 \quad 5 \quad 7}}$$

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$$S \rightarrow AA$$

$$A \rightarrow aB \mid Bb$$

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This grammar with $w = \mathbf{aab}$ translates to the following MPCP:

i	w_i	v_i
1	F	$FS \Rightarrow$
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3	S	AA
4	A	aB
5	A	Bb
6	BB	aa

i	w_i	v_i
7	\Rightarrow	\Rightarrow
8	a	a
9	b	b
10	A	A
11	B	B
12	S	S

Example derivation: $S \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow aab$.

$$w_i: \frac{1 \ 3 \ 7 \ 4 \ 10 \ 7 \ 8 \ 11 \ 5 \ 7 \ 8}{\overline{FS \Rightarrow AA \Rightarrow aBA \Rightarrow a}}$$

$$v_i: \frac{FS \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow a}{\underline{1 \quad 3 \quad 7 \quad 4 \quad 10 \quad 7 \quad 8 \quad 11 \quad 5 \quad 7 \quad 8}}$$

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7	\Rightarrow	\Rightarrow
8	a	a
9	b	b
10	A	A
11	B	B
12	S	S

Example derivation: $S \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow \mathbf{aaab}$.

$$w_i: \quad \frac{1 \ 3 \ 7 \ 4 \ 10 \ 7 \ 8 \ 11 \ 5 \ 7 \ 8 \ 6}{\underline{F \ S \Rightarrow \ A \ A \Rightarrow \ a \ B \ A \Rightarrow \ a \ B \ B}}$$

$$v_i: \quad \frac{\underline{F \ S \Rightarrow \ A \ A \Rightarrow \ a \ B \ A \Rightarrow \ a \ B \ B \ b \Rightarrow \ a \ a \ a}}{1 \quad 3 \quad 7 \quad 4 \quad 10 \quad 7 \quad 8 \quad 11 \quad 5 \quad 7 \quad 8 \quad 6}$$

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This grammar with $w = \mathbf{aaab}$ translates to the following MPCP:

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i	w_i	v_i
7	\Rightarrow	\Rightarrow
8	a	a
9	b	b
10	A	A
11	B	B
12	S	S

Example derivation: $S \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow \mathbf{aaab}$.

$$w_i: \quad \frac{1 \ 3 \ 7 \ 4 \ 10 \ 7 \ 8 \ 11 \ 5 \ 7 \ 8 \ 6 \ 9}{\underline{F \ S \Rightarrow \ A \ A \Rightarrow \ a \ B \ A \Rightarrow \ a \ B \ B \ b}}$$

$$v_i: \quad \frac{\underline{F \ S \Rightarrow \ A \ A \Rightarrow \ a \ B \ A \Rightarrow \ a \ B \ B \ b \Rightarrow \ \mathbf{a \ a \ a \ b}}}{1 \quad 3 \quad 7 \quad 4 \quad 10 \quad 7 \quad 8 \quad 11 \quad 5 \quad 7 \quad 8 \quad 6 \quad 9}$$

Example

$$S \rightarrow AA$$

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This grammar with $w = \mathit{aab}$ translates to the following MPCP:

i	w_i	v_i
1	F	$FS \Rightarrow$
2	$\Rightarrow \mathit{aabE}$	E
3	S	AA
4	A	aB
5	A	Bb
6	BB	aa

i	w_i	v_i
7	\Rightarrow	\Rightarrow
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$$w_i: \frac{1 \ 3 \ 7 \ 4 \ 10 \ 7 \ 8 \ 11 \ 5 \ 7 \ 8 \ 6 \ 9}{\overline{FS \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow \mathit{aabE}}}$$

$$v_i: \frac{FS \Rightarrow AA \Rightarrow aBA \Rightarrow aBBb \Rightarrow \mathit{aabE}}{\overline{1 \ 3 \ 7 \ 4 \ 10 \ 7 \ 8 \ 11 \ 5 \ 7 \ 8 \ 6 \ 9 \ 2}}$$

Post Correspondence Problem

Theorem

The PCP is undecidable.

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The PCP is undecidable.

Proof.

Given an MPCP $X: (w_1, v_1), \dots, (w_n, v_n)$ where

$$w_j = a_{j1} \cdots a_{jm_j} \quad \text{and} \quad v_j = b_{j1} \cdots b_{jr_j} \quad (\text{with } m_j + r_j > 0)$$

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We define PCP $X' (y_0, z_0), \dots, (y_{n+1}, z_{n+1})$ by:

$$y_0 = @ \$ y_1 \quad y_i = a_{i_1} \$ a_{i_2} \$ \cdots a_{i_{m_i}} \$ \quad y_{n+1} = \#$$

$$z_0 = @ z_1 \quad z_i = \$ b_{i_1} \$ b_{i_2} \cdots \$ b_{i_{r_i}} \quad z_{n+1} = \$ \#$$

for $1 \leq i \leq n$. The letters @, \$ and # are fresh.

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$$\begin{array}{lll} y_0 = @y_1 & y_i = a_{i1}a_{i2}\cdots a_{im_i} & y_{n+1} = \# \\ z_0 = @z_1 & z_i = b_{i1}b_{i2}\cdots b_{ir_i} & z_{n+1} = \#\end{array}$$

for $1 \leq i \leq n$. The letters @, \$ and # are fresh.

Every PCP X' solution must start with (y_0, z_0) :

$$y_0y_j \cdots y_k y_{n+1} = z_0z_j \cdots z_k z_{n+1}$$

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Solution exists $\iff w_1 w_j \cdots w_k = v_1 v_j \cdots v_k$ is a solution of X .

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$$z_0 = @z_1 \quad z_i = \$ b_{i1} \$ b_{i2} \cdots \$ b_{ir_i} \quad z_{n+1} = \$ \#$$

for $1 \leq i \leq n$. The letters @, \$ and # are fresh.

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Solution exists $\iff w_1 w_j \cdots w_k = v_1 v_j \cdots v_k$ is a solution of X .

As the MPCP is undecidable, so must be the PCP. □

Example

Consider the following instance of the MPCP:

$$w_1 = 11$$

$$w_2 = 1$$

$$v_1 = 1$$

$$v_2 = 11$$

It reduces to the following PCP problem:

$$y_0 = @$1$1$$$

$$y_1 = 1$1$$$

$$y_2 = 1$$$

$$y_3 = \#$$

$$z_0 = @$1$$

$$z_1 = $1$$

$$z_2 = $1$1$$

$$z_3 = $#$$

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$$z_3 = $#$$

Example solution MPCP:

$$w_1 w_2 = 111 = v_1 v_2$$

Corresponding solution PCP:

$$y_0 y_2 y_3 = @$1$1$1$# = z_0 z_2 z_3$$

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Corresponding solution PCP:

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In general: the original MPCP instance has a solution

\iff the resulting PCP instance has a solution

Undecidable Properties of Context-Free Languages

Undecidable properties of context-free languages:

- empty intersection,
- ambiguity,
- palindromes,
- equality,
- ...

Empty Intersection of Context-Free Languages

Theorem

The question $L_1 \cap L_2 = \emptyset$? for context-free languages L_1, L_2 is undecidable.

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Given a PCP instance $X: (w_1, v_1), \dots, (w_n, v_n)$.

We define two context-free grammars G_1 and G_2 :

$$S_1 \rightarrow w_i S_1 \langle i \rangle \mid w_i \# \langle i \rangle$$

$$S_2 \rightarrow v_i S_2 \langle i \rangle \mid v_i \# \langle i \rangle$$

for $1 \leq i \leq n$. Here $\#$, \langle and \rangle are fresh symbols.

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$$S_2 \rightarrow v_i S_2 \langle i \rangle \mid v_i \# \langle i \rangle$$

for $1 \leq i \leq n$. Here $\#$, \langle and \rangle are fresh symbols. Then

$$L(G_1) = \{w_j \cdots w_k \# \langle k \rangle \cdots \langle j \rangle \mid 1 \leq j, \dots, k \leq n\}$$

$$L(G_2) = \{v_\ell \cdots v_m \# \langle m \rangle \cdots \langle \ell \rangle \mid 1 \leq \ell, \dots, m \leq n\}$$

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$L(G_1) \cap L(G_2) = \emptyset \iff$ the PCP X has no solution. □

Ambiguity of Context-Free Grammars

Theorem

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Ambiguity of context-free grammars is undecidable.

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Given a PCP instance $X: (w_1, v_1), \dots, (w_n, v_n)$.

We define a context-free grammar G :

$$S \rightarrow S_1 \mid S_2 \qquad S_1 \rightarrow w_i S_1 \langle i \rangle \mid w_i \# \langle i \rangle$$

$$S_2 \rightarrow v_i S_2 \langle i \rangle \mid v_i \# \langle i \rangle$$

for $1 \leq i \leq n$. Here $\#$, \langle and \rangle are fresh symbols.

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$$\begin{aligned} S &\rightarrow S_1 \mid S_2 & S_1 &\rightarrow w_i S_1 \langle i \rangle \mid w_i \# \langle i \rangle \\ & & S_2 &\rightarrow v_i S_2 \langle i \rangle \mid v_i \# \langle i \rangle \end{aligned}$$

for $1 \leq i \leq n$. Here $\#$, \langle and \rangle are fresh symbols.

Then G is ambiguous \iff the PCP X has a solution. \square

Palindromes in Context-Free Languages

Theorem

It is undecidable whether a context-free language contains a palindrome (a word $w = w^R$).

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Proof.

We reduce the PCP to the above problem.

Given a PCP instance $X: (w_1, v_1), \dots, (w_n, v_n)$.

We define a context-free grammar G :

$$S \rightarrow w_i S v_i^R \mid w_i \# v_i^R$$

for $1 \leq i \leq n$. Here $\#$ is a fresh symbol.

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for $1 \leq i \leq n$. Here $\#$ is a fresh symbol.

$L(G)$ contains a palindrome \iff PCP X has a solution. \square

Equality of Context-Free Languages

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The question $L = \Sigma^*$? (and hence $L_1 = L_2$?) for context-free languages $L (L_1, L_2)$ is undecidable.

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Proof

Given a PCP $X: (w_1, v_1), \dots, (w_n, v_n)$. Define G_1 and G_2 :

$$S_1 \rightarrow w_i S_1 \langle i \rangle \mid w_i \# \langle i \rangle$$

$$S_2 \rightarrow v_i S_2 \langle i \rangle \mid v_i \# \langle i \rangle$$

as before.

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as before. Then

$$\text{PCP } X \text{ has no solution} \iff L(G_1) \cap L(G_2) = \emptyset$$

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$$\iff \overline{L(G_1) \cap L(G_2)} = \bar{\emptyset}$$

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as before. Then

$$\begin{aligned} \text{PCP } X \text{ has no solution} &\iff L(G_1) \cap L(G_2) = \emptyset \\ &\iff \overline{L(G_1) \cap L(G_2)} = \overline{\emptyset} \\ &\iff \overline{L(G_1)} \cup \overline{L(G_2)} = \Sigma^* \end{aligned}$$

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$$S_1 \rightarrow w_i S_1 \langle i \rangle \mid w_i \# \langle i \rangle$$

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as before. Then

$$\begin{aligned} \text{PCP } X \text{ has no solution} &\iff L(G_1) \cap L(G_2) = \emptyset \\ &\iff \overline{L(G_1) \cap L(G_2)} = \overline{\emptyset} \\ &\iff \overline{L(G_1)} \cup \overline{L(G_2)} = \Sigma^* \end{aligned}$$

It suffices to show that $\overline{L(G_1)} \cup \overline{L(G_2)}$ is context-free.

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as before. Then

$$\begin{aligned} \text{PCP } X \text{ has no solution} &\iff L(G_1) \cap L(G_2) = \emptyset \\ &\iff \overline{L(G_1) \cap L(G_2)} = \overline{\emptyset} \\ &\iff \overline{L(G_1)} \cup \overline{L(G_2)} = \Sigma^* \end{aligned}$$

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It suffices that $\overline{L(G_1)}$ is context-free ($\overline{L(G_2)}$ is analogous).

Equality of Context-Free Languages (2)

Proof continued

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The words in $L(G_1)$ are of the form

$$w_j \cdots w_k \# \langle k \rangle \cdots \langle j \rangle \quad \text{for non-empty indices } 1 \leq j, \dots, k \leq n$$

All these words are of the shape

$$L_S = \Sigma^* \cdot \{\#\} \cdot \{\langle 1 \rangle, \dots, \langle n \rangle\}^+.$$

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where

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Each of these languages is context-free, thus $L_S \setminus L(G_1)$ is.

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Exercise

Give context-free grammars for L_{smaller} , L_{larger} and L_{equal} .

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There exist algorithms for these problems that always halt if the answer is yes, but **may or may not halt if the answer is no**.

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In 1970 **Yuri Matiyasevich** proved that this is **undecidable**.