

Automata & Complexity

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2018

Looking Back

Previous subjects relevant for this lecture:

- Context-free languages via context-free grammars
- Context-free languages via pushdown automata

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Question

Are all languages context-free?

Pumping Lemma for Context-Free Languages (1961)

Theorem

Let L be a context-free language.

There exists $m > 0$ such that for every word $w \in L$ with $|w| \geq m$:

$$w = uvxyz$$

with $|vxy| \leq m$ and $|vy| \geq 1$, and $uv^i xy^i z \in L$ for every $i \geq 0$.

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Let G be a context-free grammar with $L(G) = L \setminus \{\lambda\}$

- with k variables, and
- without λ and unit productions.

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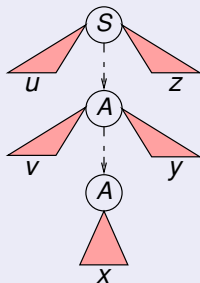
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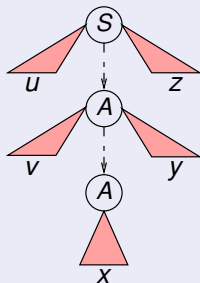


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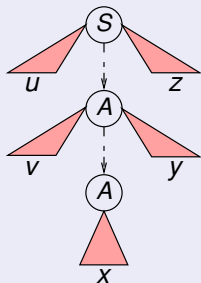
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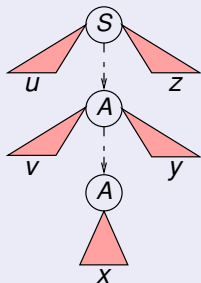
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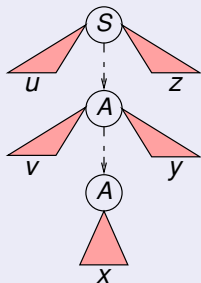
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Then

- $|vxy| \leq m$ as the subtree generating vxy has depth $\leq k + 1$,
- $|vy| \geq 1$, since there are no λ and unit productions. □

Using the Pumping Lemma

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Pumping lemma as formula (**note the quantifiers**):

$$\exists m > 0.$$

$$\forall w \in L \text{ with } |w| \geq m.$$

$$\exists u, v, x, y, z \text{ with } w = uvxyz, |vxy| \leq m, |vy| \geq 1.$$

$$\forall i \geq 0. uv^i xy^i z \in L$$

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If we can always win, then L does not fulfil the pumping lemma!

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Contradiction, thus L is not context-free.

Exercises

Show that the pumping lemma holds for:

- $\{a^n b^n \mid n \geq 0\}$
- $\{ww^R \mid w \in \{a, b\}^*\}$
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Argue that the pumping lemma does **not** hold for:

- $\{ww \mid w \in \{a, b\}^*\}$
- $\{a^{2^k} \mid k \geq 0\}$

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Namely, we have:

$$L_1 \cap L_2 = \overline{\overline{L_1} \cup \overline{L_2}}$$

$$\overline{L_1} = \Sigma^* \setminus L_1$$

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If L_1 is **context-free** and L_2 **regular**, then $L_1 \cap L_2$ is **context-free**.

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Construction

Let

- $M = (Q, \Sigma, \Gamma, \delta, q_0, z, F)$ be an NPDA accepting L_1 , and
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Basic Properties of Context-Free Languages

Theorem

If L_1 is **context-free** and L_2 **regular**, then $L_1 \cap L_2$ is **context-free**.

Construction

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Then $L(\hat{M}) = L(M) \cap L(N)$.

Question

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Why does the construction not work for two NPDA's?
(instead of an NPDA and a DFA)

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$L_2 \setminus L_1$ is **not** always context-free. Namely

$$\overline{L_1} = \Sigma^* \setminus L_1$$

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Contradiction. Thus L is not context-free.

Basic Questions about Context-Free Grammars

Given context-free grammar G and H .

Which of the following questions are **decidable**?

1. Given $w \in \Sigma^*$, do we have $w \in L(G)$?
2. Is $L(G)$ empty ?
3. Does $L(G) = \Sigma^*$ hold ?
4. Does $L(G)$ contain a palindrome ($w = w^R$) ?
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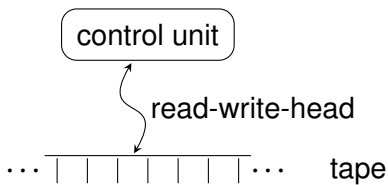
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Surprisingly all other questions are undecidable.

Turing Machines

Turing machines can **read** and **write** the input word.

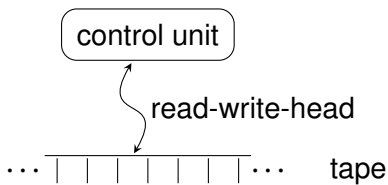
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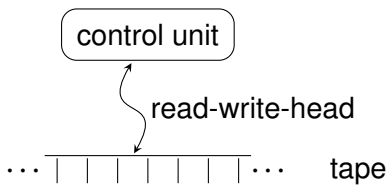
In each step:

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- moves one place to the left or right.

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The tape is two-sided infinite: **unlimited memory!**

Turing Machines

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We introduce a **blank symbol** \square . The initial tape content is

$\dots \square \square \square \square$ input word $\square \square \square \square \dots$

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$\delta(q, a) = (q', b, X)$ means: if

- the machine is in state q , and
- the head reads a from the tape

then

- then a is overwritten by b ,
- the head moves 1 position **left** if $X = L$, **right** if $X = R$, and
- the machine switches to state q' .

Turing Machines

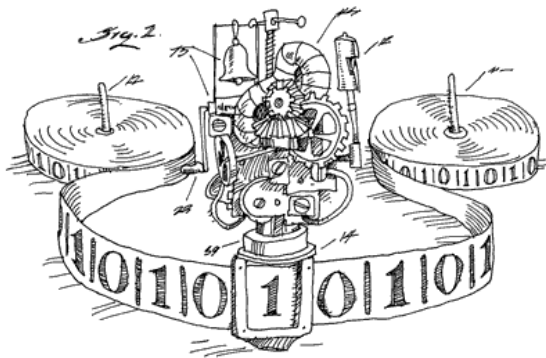
A **deterministic Turing machine**, short TM, is a 7-tuple

$$(Q, \Sigma, \Gamma, \delta, q_0, \square, F)$$

where

- Q is a finite set of states,
- $\Sigma \subseteq \Gamma \setminus \{\square\}$ a finite input alphabet,
- Γ a finite tape alphabet,
- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$ a partial transition function,
- q_0 the starting state,
- $\square \in \Gamma$ the blank symbol,
- $F \subseteq Q$ a set of final (accepting) states.

Turing Machines



Turing Machine Configuration

A **configuration** of a TM is a word vqw ($q \in Q$, $v, w \in \Gamma^*$).

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$vcqaw \vdash vq'cbw$	if $\delta(q, a) = (q', b, L)$
$\lambda qaw \vdash \lambda q'\square bw$	if $\delta(q, a) = (q', b, L)$
$vcq\lambda \vdash vq'cb\lambda$	if $\delta(q, \square) = (q', b, L)$
$\lambda q\lambda \vdash \lambda q'\square b\lambda$	if $\delta(q, \square) = (q', b, L)$

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Turing Machines and Languages

The language $L(M)$ accepted by TM $M = (Q, \Sigma, \Gamma, \delta, q_0, \square, F)$ is

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So a configuration vqw with $q \in F$ is a halting state.

Note that $w \notin L(M)$ can have two causes:

- the execution halts in a state $q \notin F$, or
- the execution is infinite (never halts).

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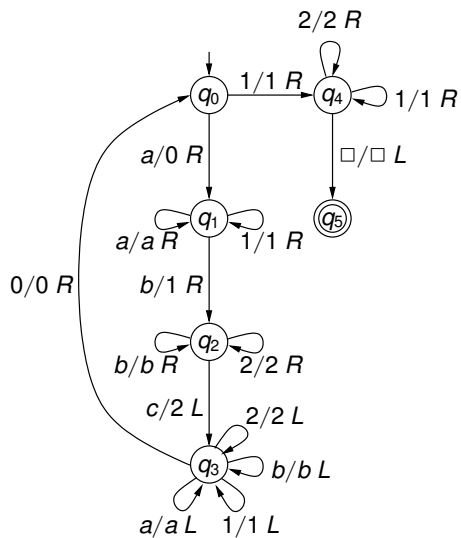
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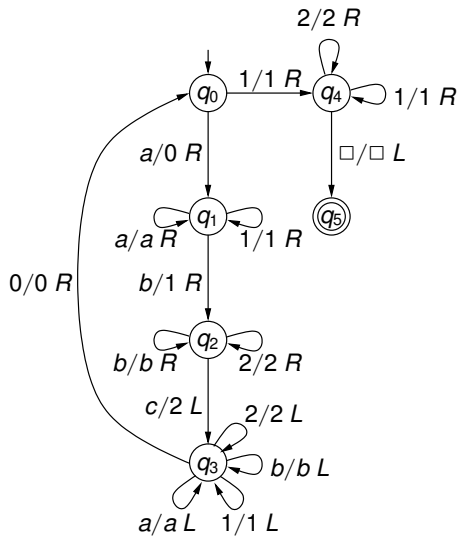
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- $\Sigma = \{ a, b, c \}$ and $\Gamma = \{ a, b, c, 0, 1, 2, \square \}$
- q_0 : Read a , replace by 0, move right and switch to q_1 .
- q_1 : Keep moving right until we read b .
Replace b by 1, move right and switch to q_2 .
- q_2 : Keep moving right until we read c .
Replace c by 2, move left and switch to q_3 .
- q_3 : Keep moving left until we read 0.
Move right and switch back to q_0 .
- If we read 1 in q_0 , switch to q_4 .
- q_4 : Keep moving right to check whether there are a 's, b 's or c 's left. If not, then go to **final state** q_5 .

Example



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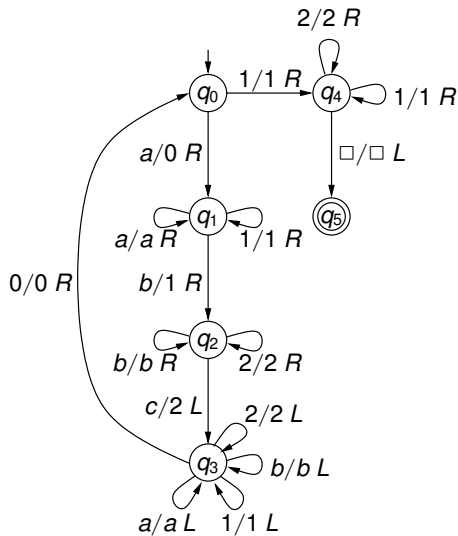
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$\vdash^+ 00q_0 11b22$

$\vdash^+ 0011q_4 b22$

Exercise

(Groups of two, 1 minutes)

Construct a Turing machine accepting all words of **odd** length over the alphabet $\Sigma = \{a, b\}$.

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A language L is **recursively enumerable** if L is accepted by a (deterministic) Turing machine.

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do **not** give extra expressive power.

Church-Turing thesis: Every computation of a computer can be simulated by a deterministic Turing machine.

Alonzo Church & Alan Turing



Alonzo Church (1903-1995) is inventor of the λ -calculus.

Alan Turing (1912-1954)

- introduced the Turing machine,
- invented the Turing test,
- played a key role in cracking the German Enigma machine.

In 1938, both proved undecidability of validity in predicate logic.

And there is of course the famous Church-Turing thesis.

Looking Back

- Proving that a language is not context-free
(Pumping lemma for context-free languages)
- If L_1, L_2 context-free, then
 - $L_1 \cup L_2, L_1^R, L_1L_2, L_1^*$ context-free
 - $L_1 \cap L_2, \overline{L_1}, L_1 \setminus L_2$ not always context-free
- Turing machines: automata with unlimited memory (tape)

Looking Forward

Read:

- Linz 8.1–8.2, 9.1, 9.3

Do the following exercises:

- Linz 8.1: 2, 3, 5, 8c
- Linz 8.2: 1, 11, 22, 23
- Linz 9.1: 4, 5, 7d,e, 8

Following lecture:

- Variations of Turing machines
- Recursive and recursively enumerable languages
- Unrestricted, context-sensitive grammars
- Linear bounded automata